US ERA ARCHIVE DOCUMENT

Carroll-Loye Biological Research

711 Oak Avenue

Davis, California 95616

Tel (530) 297-6080

http://www.carroll-loye.com/

10 July 2007 Study SPC-002 Page 1 of 70

COVER PAGE

EFFICACY TEST PROTOCOL SPC-002

©2007 by Scott Prentice Carroll, Ph.D.

EFFICACY TEST OF PICARIDIN-BASED PERSONAL TICK REPELLENTS

SYNOPSIS

Picaridin is a synthetic arthropod repellent developed as an alternative to DEET. Spectrum Division of United Industries Corp. proposes to replace all product performance studies for its Picardin-based repellents to bring them up to date with current Agency policy. In his letter dated 18 April 2007, Mr. Richard Gebken of the Agency's Registration Division spelled out the requirements to meet that goal. For a total of eight currently registered Spectrum products, efficacy testing will be conducted with three. Efficacy data from our tests of 7% Picardin Pump Spray will serve for that product and will also be adopted for 10% Pump Spray and Aerosol formulations, as well as for a 5.75% Towelette. Data from 15% Pump Spray will be used for that product as well as for 15% Aerosol and 12% Towelette. (Both Towelette formulations are simply the corresponding Pump Spray formula placed onto an inert fabric substrate.) The third test article is a 15% Picaridin Lotion formulated with sunscreen. It will be tested independently because interactions between repellency and sunscreen may make it substantively different from the other 15% formulations.

This protocol, dated 10 July 2007, was reviewed and approved by a private IRB, the Independent Investigational Review Board (IIRB), located in Plantation Florida, on 17 July 2007. The document in hand is that which IIRB reviewed, with the addition, on 17 July 2007, of the following elements for review by the United States Environmental Protection Agency, including its Human Studies Review Board: 1) This complete cover page; 2) the approved, signed Informed Consent Form, which replaces the proposed Informed Consent Form we submitted to IIRB; 3) record of PI–IIRB correspondence. The Table of Contents on the next page reflects those changes and additions.

EFFICACY TEST PROTOCOL SPC-002

©2007 by Scott Prentice Carroll, Ph.D.

EFFICACY TEST OF PICARIDIN-BASED PERSONAL TICK REPELLENTS

TABLE OF CONTENTS

3
35
36
46
51
57
63
67
68

EFFICACY TEST PROTOCOL SPC-002

©2007 by Scott Prentice Carroll, Ph.D.

1 TITLE: EFFICACY TEST OF PICARIDIN-BASED PERSONAL TICK REPELLENTS

2 PROTOCOL NUMBER:

SPC-002

3 SPONSOR:

Spectrum Division of United Industries Corporation (hereinafter referred to as 'Spectrum' or 'Sponsor')

3.1 Address:

Spectrum Brands, Inc. 13260 Corporate Exchange Dr. Bridgeton, MO 63044

4 PROTOCOL OBJECTIVE:

This protocol will indicate the specific methods to be used, and direct the conduct of, Study SPC-002. The study will be conducted in the laboratory at the letterhead address.

5 STUDY OBJECTIVE, RATIONALE AND STANDARDS:

5.1 Objective of Research:

The objective of this study is to test the repellent efficacy characteristics of the test materials to ticks. The active ingredient, Picaridin, is of known high broad-spectrum efficacy, but has not been studied at very many formulations in the U.S. The general hypothesis of the research is that the test materials will substantially reduce the probability that a tick crosses a repellent treatment for several hours. However, more than testing that hypothesis, the aim of the research is to characterize the duration of repellency based on the Complete Protection Time criterion.

Complete Protection Time, or CPT, is defined herein as the time between application of test material and the 'First Confirmed Crossing', a conservatively defined failure of the repellent that is followed by another such failure within 30 minutes. The work conducted pursuant to this protocol will be initiated by determining the amount of each of the repellents that subjects typically apply. Dosimetry will consist of a behavioral assay of human subjects.

5.2 Rationale and Main Endpoint:

As part of its review of Spectrum's Picaridin formulations, the U.S. EPA has specified additional efficacy data to be collected as a condition of registration. The rationale for this study is to provide those efficacy data. The information will also be used as the basis for accurate product labeling.

This study will test the efficacy of three formulations. Picaridin-based repellents have been marketed around the world for a decade, but the product is comparatively recent in the U.S. market, where Spectrum introduced it in 2005. The U.S. Centers for Disease Control has acknowledged the existence of substantial consumer interest in new and effective insect repellent products, and that Picaridin-based repellents are among the very few of sufficiently high efficacy to offer reliable personal protection against vectors of West Nile virus (in April 2005). However, few Picaridin products are currently marketed in the U.S., and comparatively little study has been made of its performance as a tick repellent in the U.S. Prevention of tick bites

and the reduction of the risks of contracting tick-borne diseases are of substantial interest to U.S. consumers and public health professionals. Thus, there is substantial merit in its further study and the development of new Picaridin-based repellent products toward unconditional registration by the U.S. EPA.

Information regarding the stability of the end products is available from the Sponsor in a separate study.

The main endpoint of this study will be the conclusion of a tick repellent efficacy test of Picaridin-based topical repellents in the laboratory, with the data set suitable for submission to U.S. EPA to comply with the conditions of registration, and including the bridging of performance data to related products. Initial dosage determination ('dosimetry') will also be conducted with 10 subjects per formulation, some of whom may then go on to participate in efficacy testing. Dosimetry will be conducted at the letterhead address. When 10 subjects have completed dosimetry for each formulation, the resulting data will be used to determine dosing for the efficacy testing.

5.3 Rationale for use of Human Subjects:

Human subjects are required because they represent the target system for the test material; sufficiently reliable replacement models for repellency testing do not exist. In addition, subjects will self-administer the test articles during dose determination. Ten subjects are required in order to reduce variation around the population means that we will describe.

5.4 Balance of Risks and Benefits:

The study-associated risks are of three types: exposure to test materials, exposure to biting arthropods and possible exposure to arthropod-borne diseases. As described below, subject health and safety are unlikely to be impacted by any participation-associated risks during or after the study.

The repellent's active ingredient has a low acute and chronic risk profile, a fact established through experimentation and through a history of consumer use. The concentrations of the active ingredient in the products being tested are similar to those of other Picaridin products that EPA has recently registered. Subjects with known allergic reactions to insect repellents and common cosmetics are excluded from participating.

'Repeat' exposures during dosimetry are of brief duration before the product is washed off, and the likely total exposure time is much shorter than a typical single consumer application. Risks associated with inhalation and ingestion would only ensue from serious mishandling by subjects, a scenario that the study methods preclude.

While no bites are expected from the implementation of this protocol, it is worth noting that the testing will be conducted with laboratory ticks reared on quarantined rodents. The rodent hosts are screened to be pathogen-free for all tick-transmitted pathogens and hantavirus using appropriate culture, direct detection (PCR), and immunological screening assays.

In summary, the relatively benign quality of the repellents and the technical precautions we employ indicate that the chance that any subject will be at a health or safety risk is extremely small. If at anytime during the study a subject suffers a skin reaction or feels ill, he or she is instructed to inform the Study Director (i.e., the 'Principal Investigator'), or anyone else who is also working to direct the study. Such subjects will be immediately withdrawn from testing and medical management will be implemented (§9.5). At least one qualified researcher will remain with the remaining test subjects if other researchers depart with an injured or ill subject. Subjects are clearly and repeatedly informed that they may remove themselves for any reason from the study at anytime, without penalty to their compensation.

Balanced against these slight risks are substantial and reasonably likely benefits. The principal beneficiary will likely be the Sponsor, for whom new data and new labeling will meet current U.S. EPA registration standards. Spectrum is a major marketer of insect repellents in the U.S., primarily under the Cutter® label. Arthropod-borne disease is of growing significance in the U.S. and around the world where U.S. citizens are active. Moreover, discomfort associated with nuisance biting restricts many work and

pleasure activities. A test such as the one proposed here is the Sponsor's only legitimate path toward further product development and greater availability of new Picaridin-based tick repellents to U.S. consumers.

5.5 Standards Applied:

U.S. EPA Good Laboratory Practice Regulations (40 CFR 160); 40 CFR 26 subparts K and L; FIFRA §12(a)(2)(P); California State EPA Department of Pesticide Regulation study monitoring (California Code of Regulations Title 3, §6710).

6 INVESTIGATIONAL AND TEST MATERIAL CONTROL:

6.1 Test Substance:

6.1.1 Description of the Test Materials:

7% Picardin Pump Spray (data will also be bridged to 10% Pump Spray and Aerosol and 5.75% Towelette).

15% Picaridin Pump Spray (data will also be bridged to 15% Aerosol and 12% Towelette).

15% Picaridin Lotion formulated with sunscreen.

Note that the two Towelette formulations are simply the corresponding Pump Spray formulae placed onto an inert fabric substrate.

6.1.2 Dosage Form:

Lotion and liquid (Pump Spray) applied to the skin.

6.1.3 Trade Name:

Cutter.

6.1.4 Dose:

Determining dosage is part of this study's main objective. Dosage for repellency testing will be the mean of the subject means determined for each product in the dosimetry portion of this study. Dosage will be measured in weight and reported by weight and volume. Dosimetry data will be shared with the related repellent efficacy study detailed in the companion protocol SPC-001.

6.1.5 Manufacturing Site:

TBD

6.1.6 Test Material Storage During Study:

Prior to application, the test materials will be stored indoors, at room temperature and away from direct sunlight or direct sources of moisture, and according to any conditions specified by the Sponsor. Storage will be at Carroll-Loye Biological Research in Davis, CA.

6.1.7 Test Material Safety:

EPA regulates use of inert ingredients (also termed "other" ingredients) by toxicology profiles in animal tests and by their inclusion in EPA lists of "approved" other ingredients. The insect-repellent products proposed for testing have been tested on animals for potential oral and dermal toxicity. The active ingredient (Picaridin) has an extensive toxicity data file, has been previously registered by EPA and has a positive safety record in consumer use. MSDS files for the products we propose to test are appended.

6.1.8 Test Material Composition and Stability:

The test material formulations are typical of topical cosmetics and insect-repellent products marketed to consumers. They will be produced under applicable Good

Laboratory Practice standards, with records available to EPA. They will be couriered to Carroll-Loye Biological Research, with Chain-of-Custody documented. After that, they will be stored at the Carroll-Loye offices in a closed cabinet at room temperature (approximately 19-27°C). The Sponsor believes that the formulations will be stable for the duration of the study, based on previously conducted storage-stability studies. The EPA has extensive experience with enforcing requirements for such tests based upon their history with similar products applied to humans. Spectrum intends to provide any requested information as appropriate to safety and efficacy issues.

6.2. Negative Control:

6.2.1 Description of the Negative Control:

The negative control is untreated for both dosimetry and repellency assays. Each subject simultaneously serves as a treatment and control subject.

6.2.2 Rationale for Employing a Negative Control:

Dosimetry testing requires an untreated control for the assumption that dosimeters will not gain appreciable weight from contract with untreated skin.

The 'negative control' for efficacy data sets serves to insure that each tick employed in the study is attracted to the test subject before it is used in a repellency challenge. Ticks that fail to meet the questing criterion (§8.4.1) are not used against Test Materials. In this way the negative control serves as a pre-screening of the ticks, such that only actively questing ticks are then exposed to the treatments. Based on this manipulation of a standard control design, the crossing rate on the negative control is judged to be 100%.

There is no control in which each formulation matrix without the repellent active is tested. There is no a priori basis for anticipating significant repellent activity in the matrices, and the study objective is to examine efficacy of the end products. The question of whether there is interaction between matrix and active is external to that objective. Accordingly, including additional subjects testing matrix-only formulations cannot be justified.

6.3 Comparison Article:

None.

6.4 Test Arthropod Species:

Testing will be conducted against laboratory-reared deer ticks (*Ixodes scapularis*) and American dog ticks (*Dermacentor variabilis*). Ticks are descended from field caught adults. Methods employed for disease exclusion are described in §5.4. Ticks are reared at approximately 25°C under conditions of high humidity and long day length. Laboratory nymphs are active in questing and feeding between approximately 2 weeks and one year posteclosion (molt). Ticks will typically be between 6 and 12 weeks post-eclosion for testing.

7 STUDY SCHEDULE:

7.1 Proposed Date of Initiation:

To be determine (TBD); within one year of IRB approval.

Activities

7.2 Schedule of Events:

Test day Date

-30 to -2TBD

Begin subject recruitment. Introduce subjects to test plan and procedures; explain compensation; review subject rights and consent forms; provide option to sign consent forms to participate. Measure limb surface areas; determine individual dosing

behavior and rates, mean dosing rates and individual dosage values.

1-3 TBD

Prepare individual dosages for application.

Meet with subjects to review day plan and safety procedures. Administer repellent, or do so after travel to field site. Travel to field site. Review safety and data-collection procedures. Commence repellency data collection. Monitor subject safety, comfort, comportment and compliance with data-collection protocol.

7.3 Proposed Date of Completion:

Experimental Completion Date: TBD. Final Report Completion Date: TBD.

8 STUDY DESIGN:

8.1 Treatment Groups:

For efficacy testing of each test material, each subject is treated on an arm, and has the other arm untreated in order to assess the questing sufficiency of each tick prior to testing it on the untreated arm. The dosimetry study is an examination of dosing behavior for each test material. In that study, each subject will be treated, and will also serve as his or her own untreated control for the dosimeters.

8.2 Experimental Design:

The experiment will be partially randomized by subject. Because the treated condition will be evident to experimenters and subjects, and the test materials are readily distinguishable (opaque lotion versus clear, low-viscosity liquid), neither group will be effectively blinded. The obvious, relatively conservative criteria on which failure is will help to eliminate any influence of experimenter or subject bias.

8.3 Randomization Procedures for Repellent Efficacy Testing:

8.3.1 Allocation of subjects to treatment groups:

Subjects will be assigned to the treatment groups on the basis of a randomly assigned subject number. Subjects will be assigned treatment based on their subject number and the treatment allocation table, which follows.

8.3.2 Treatment allocation table:

Materials will be distributed among subjects as tabulated below. For simplicity, the model shows different set of subjects testing each repellent. However, individual subjects may test more than one repellent, on separate days.

Subject	Lotion	7% Pump	15% Pump
1	X		
2	X		
3	X		
4	X		
5	X		
6	X		
7	X		
8	X		
9	X		
10	X		
11		X	
12		X	
13		X	
14		X	
15		X	
16		X	
17		X	
18		X	
19		X	
20		X	
21			X
22			X

23	_	X
24		X
25		X
26		X
27		X
28		X
29		X
30		X

8.4. Conditional Boundaries or Limits of Study:

8.4.1. Ambient Host-seeking Pressure:

To be included in the test on a treated limb, each tick must first meet the crossing criterion on the untreated limb, following the procedure for the treated limb (§10.3.6), in the same test period.

8.5. Monitoring of Environmental Conditions During the Study:

Records will be made of environmental conditions (temperature, relative humidity, light intensity) at approximately 1-hour intervals throughout the data collection.

9 STUDY PROCEDURES:

9.1 Test Subjects:

9.1.1 Inclusion criteria, all subjects:

9.1.1.1	Age:	18-55 years
9.1.1.2	Sex:	Male/female
9.1.1.3	Race:	Any race
9.1.1.4	Written con	sent: (see 9.4, below)
9.1.1.5	Language:	Speak and read English

9.1.2. Exclusion criteria:

9.1.2.1 Known to be phobic of ticks.

9.1.2.2	Known to be sensitive or showing sensitivity to
	any of the test product ingredients after
	application.
9.1.2.3	Poor physical condition.
9.1.2.4	Unwilling to submit to brief query about
	personal condition.
9.1.2.5	Use of insect repellent within 1 day preceding
	the study.
9.1.2.6	Unwilling to refrain from use of perfumed
	products, alcoholic beverages or smoking after
	9 p.m. the evening preceding the test and
	throughout the test.
9.1.2.7	Known to be pregnant or lactating. Pregnancy
	will be self-checked by each female volunteer
	on the morning of the repellent test using an
	OTC test kit provided by Carroll-Loye. Results
	of each such test will be immediately verified
	by direct inspection by a technician trained to
	make that assessment. Only volunteers scored
	as nonpregnant will be allowed to participate.
9.1.2.8	Unable to deliver the test materials or nymphal
	ticks to own left and right arms.
9.1.2.9	Unable to see nymphal ticks on skin or
	otherwise effectively monitor them on skin.
9.1.3.10	Student or employee of the Study Director.

9.1.3 Number of Subjects and Rationale for Sample Sizes:

In both dosimetry and efficacy testing, we will engage 10 subjects per treatment. Each subject is a replicate. It is possible that a single set of 10 individuals could complete all parts of the study. It is much more likely that substantially more than 10 individuals will participate.

The number of subjects is chosen as a compromise between several conflicting factors. In the absence of clear means of estimating the distribution of outcome values, it is difficult to predict an ideal sample size. From a strictly scientific standpoint, an appropriate response under such circumstances would be to increase the sample size, but ethical and economic considerations demand the opposite in

the present study, particularly during the efficacy testing phase.

The U.S. EPA has historically required a minimum of six subjects. Given that test repellents are nearly certain to exhibit greater than zero efficacy, and that testing is conducted under adequate ambient tick questing pressure, it is nearly certain that no untreated limbs will register fewer or later crossings than any treated limbs. Six is often regarded as a statistically sufficient sample for an observation set because the increment in the confidence of means estimate begins to drop off sharply at that point. Notably, under the historical guidelines, there seem to have been few problems with EPA registering repellents that commonly fail to meet their labeled performance specification.

The main scientific risk of using a very small sample, however, is that the probability of over-representing subjects inherently unattractive to ticks is rather large, as is the risk of substantial biasing from a single subject that generates unrepresentative values for undetected reasons. The fact that the study will not be replicated for registration purposes increases that risk substantially. Note that in our interpretation of the draft EPA guidelines, the response variable, Time to First Confirmed Crossing, is calculated as the average duration for all treated subjects. There is no consideration of variation. In any given study, increasing the number of treated subjects to 10 will improve the probability of accurately estimating the population mean.

The 95% confidence interval computation is useful for assessing the certainty of a means estimate, and for normal probability density function that interval is ± 1.96 standard error of the mean. In this study we anticipate that the distribution of Times to First Confirmed Crossings will be truncated toward the origin. Because available mean and variance data on

Picaridin efficacy (e.g., Carroll¹) indicates that no individual values will be near zero, approximating the exponential model with the normal model is likely justifiable. Using the rule of thumb that a distribution in which the mean is greater than three standard deviations above zero may be regarded as effectively normal, it is sensible to compute and report the normal 95% confidence interval in this study. Alternatively, if tests indicate substantial deviation from a normal distribution, the deviation will be corrected prior to analysis. An exception would be cases in which there is frequent truncation of records ('data censoring') due to subject withdrawals before product failure. In that case, other means of analysis (Kaplan-Meier survival analysis, §11.3.1) will be employed, but that possibility is not strongly related to sample size considerations.

To consider an example, in a study of repellency employing 8 subjects, Cilek et al.² recorded a mean protection time of approximately 180 minutes, with a standard error of about 15 minutes. Had the N been 6, we can roughly predict that the 95% CI would be 148-212. At N=10, the estimate would be 155-205. At N=20, the interval would be roughly 162-198. Evidently, adding the additional 10 subjects to reach an N of 20 shrinks the interval, in absolute terms, no more than did the addition of 4 subjects to increase the sample size from 6 to 10.

To summarize, in the case of a highly efficacious repellent, adding subjects beyond 6 is likely to increase the precision of the means estimate only slowly. However, the regulatory justification for this study is predicated on an aversion to assuming that efficacy is closely predictable in new formulations. That conservatism is further justified on the basis of the individual and public health importance of

¹ Carroll, S. P. (2006) Evaluation of topical insect repellents and factors that affect their performance. Chapter 12 in *Insect Repellents: Principles, Methods, and Use*, Debboun, M., Strickman, D. and Frances, S. P. (eds.). Boca Raton, Florida, CRC Press.

² Cilek, J. E., Peterson J. L. and Hallmon, C. F. (2004). Comparative efficacy of IR3535 and DEET as repellents against adult *Aedes aegypti* and *Culex quinquefasciatus*. J. Amer. Mosq. Control Assoc. 20: 299-304

avoiding inaccuracy in this study. That position, coupled with the fact that data collection is only 'replicated' once (in a different habitat at that), argues for a prudent approach. To reduce the risk of over-representing atypically attractive subjects, as well the weight of the value obtained from any one subject, we regard 10 (rather than 6) treated subjects as a better sample size for the repellency portion of the study.

9.1.4 Individual subject influences on repellent performance and risks from participation, in relation to the choice of subjects:

Carroll¹ reviewed the factors that influence the performance of insect repellents and concluded that there is no *a priori* means of predicting an individual's attractiveness to a particular ectoparasite, or likely impact on a repellency trial's data set. Several studies have indicated that individuals differ in attractiveness to mosquitoes, for example, but individual attractiveness rankings shift substantially among parasite taxa. Skin-emanated volatiles influence attractiveness, as do skin temperature and absorption properties; these factors may likewise influence repellent efficacy.

On the other hand, it *is* clear that conditions of use strongly influence repellent performance. We intentionally test under conditions of light, temperature and humidity conducive to tick foraging behavior. Further, we expose subject individuals as uniformly as possible to the ticks, and have them handle the ticks in ways that minimally disrupt tick behavior. We also monitor subjects to prevent exposure of treated areas to external moisture or abrasion.

Analogous to the summation for repellency, there are few clear patterns permitting us to predict which individuals might be at relatively greater risk from participating in this study. Pregnant and lactating women are excluded on general medical principals, and persons over age 55 are excluded due to slightly elevated health risks from arthropod-borne diseases (see above), though the likelihood

of contracting the causal agent during a repellent test is very low.

9.1.5 Choice of subjects and recruitment:

9.1.5.1 Sampling Frame of Study Subjects:

For reasons of practicality and control, we work with people from the community in which our business is located: Davis, CA. Davis is a university-dominated community, so the population demography differs somewhat from non-university communities. Based on census data, the 4 major race/ethnicity groupings in the local population are: 70% Caucasian, 15% Asian, 8% Hispanic and 2% African-American (these are approximate numbers).

Initial contact is through word of mouth and telephone contact with subjects who have participated in similar previous Carroll-Loye repellent efficacy tests and have agreed or requested to be in our Volunteer Database. At present, that database consists of 30 males and 28 females. Of the 58 total subjects, 44 (76%) identify themselves as Caucasian, 8 (14%) as Asian, 3.5 (6%) as Hispanic and 2.5 (4%) as African-American. These proportions match the city's racial distribution quite closely.

75% of the subjects are range in age from 20 to 40; the remainder are between 40 and 55. Educational levels are as follows: 7 with a Ph.D., 8 with an M.S., 18 currently in graduate programs, 14 with a B.S. or B.A., and 10 undergraduate students. Among those who are not students, there are 15 professional researchers, 5 professional artists, 3 teachers, 3 office workers, 2 business owners, 2 salespeople, 1 professor, 1 massage therapist and a few whose professions are unspecified. The age distribution, skewed toward youth, reflects the collegiate community. Education levels are very high for the same reason. Profession is heavily slanted toward life-sciences researchers and students, reflecting the community and the nature of the studies. While many of the subjects with whom we work show a keen and enduring interest in participating, such

interest is not likely predictive of anything atypical regarding the results stemming from their presence in a study.

Compared to the U.S. population (potential repellent users), our sampling frame tends to under-represent blacks and over-represent Asians. It is also younger and better educated. Based on review of the scientific literature regarding individual differences in repellent performance and attractiveness to ticks, we conclude that those deviations from the ideal frame will not influence the results' representativeness, or their generalizability to the greater population. Lastly, because our Volunteer Database cohort is comprised of individuals who regularly spend time in outdoor settings (and thereby may have relatively frequent encounters with biting arthropods), this group is probably appropriate for insect repellent users in general.

9.1.5.2. Initial Recruitment Process:

In recent years, our Volunteer Database has grown through people who initiate contact with Carroll-Loye Biological Research. Those individuals learn of our work from persons who have worked with us; we do not direct or actively encourage that process. In those initial contacts, the prospective subjects typically have prior knowledge of our work and its general purpose, and what their fellows have experienced during prior studies. Each individual in the database has requested that we contact him or her in the event that test subjects are needed for insect repellent efficacy testing.

About half of our subjects are present or past University of California, Davis graduate and undergraduate students, and postdoctoral researchers, in life sciences programs. Students who directly depend on the Principal Investigator for employment or for scholastic purposes are not eligible to participate.

9.1.5.3 Screening of Candidate Subjects:

All such potential participants are screened or re-screened for suitability for each test in a private, one-on-one conversation with the Study Director. Some former subjects have been excluded from repellent testing, for example, because they surpassed the upper age limit when the health risks from vector-borne disease statistically more serious. The Exclusion Criteria (see §9.1.2, above) are exercised by asking each candidate to address them in the interview with the Study Director. It is explained to female candidates that pregnancy will be assessed directly on the test day. The Study Director encourages candidates to ask questions and ask for clarification at any time during the interview and in all activities that follow. To candidates who pass screening, the Study Director describes the test's purpose, as well as necessary procedures and expected comportment, in plain language (in English). Candidates are then asked if they would like to retire from consideration. If they wish to remain in consideration, it is emphasized that they may withdraw from the test at any time during the test without penalty to their compensation. This freedom is especially reemphasized in cases in which considerable effort or expense has been required to include a subject (e.g., travel to a distant site), to discourage the conception that that effort or expense creates any added obligation in the subject.

Candidates are given copies of the State of California Department of Pesticide Regulation Experimental Subject's Bill of Rights (appended) to read in the presence of Carroll-Loye personnel. They are also given a copy of the IRBapproved consent form to read. The amount and form of compensation are described. They are again encouraged to ask any questions about the test, which may include understanding its purpose more fully, understanding risks and discomforts more fully, and understanding treatment and compensation for injury more fully. While the majority of our subjects have worked with us on an occasional basis for a number of years, we encourage them to seriously evaluate their interests and concerns about participation each time. We ask them not to sign on immediately, but to give the situation due consideration (normally at least one day, sometimes less for those who have participated in multiple

prior studies). Because most of the volunteers are researchers and/or have advanced degrees in the life sciences, or work directly with or otherwise regularly encounter biting arthropods in infested habitats, we regard their motivations and decisions to participate as being well-considered and well-informed. Accordingly, we normally accept their decisions to participate if they so choose to following due consideration. Nonetheless, the Study Director retains the final right to refuse participation to any candidate.

9.1.6 Identification method and records retention:

Subjects will initially be identified by first and last name, and assigned a unique number for purposes of this study. Individual data will be entered into the computer for retention and analysis with reference to individual number, not name. Records relating individual names to individual numbers will be retained separately. The Study Director will retain records indefinitely. Subjects may obtain their own records from the Study Director.

9.1.7 Enrollment of alternate subjects and its relation to individual privacy:

We will enroll 3 more subjects than are required to meet our sample size. All subjects will be informed during the consent process that on the day of testing, a small number of subjects may be designated as alternates and sent away after being compensated for coming to the test site. Alternate subjects may return later to replace subjects that initiate testing but withdraw before useful data are generated. They also serve as insurance against any enrolled subjects who fail to appear.

The possibility that any subject may be designated as an alternate will assist in protecting the privacy of any subject that must withdraw in or near the presence of other subjects at the start of the test day (i.e., before treatment and testing begins), for reasons such as a positive pregnancy test result, or for any other personal circumstance.

9.2 Blinding of Study:

None

9.3. Study Material Administration:

Experienced personnel will administer Study Materials to each subject. Test products will be applied volumetrically to the skin surface from a small syringe, and spread on the site as evenly as possible with one fingertip in a surgical glove, using a light rubbing motion. Skin surfaces to be treated are first cleansed with water and a fragrance-free detergent soap, rinsed with a 35% ethanol-in-water solution, then towel-dried.

9.4 Subject Consent:

Written subject consent is an inclusion criterion.

9.5 Stop Rule and Medical Management:

Specific adverse reactions in subjects to the test materials are not anticipated based on low acute and chronic toxicity, as well as the research and subject training designed to minimize the probability of tick bites. Because the products are topical, technical personnel will monitor, and subjects will self-monitor, for allergic and irritant skin reactions, particularly redness, edema, itching or pain, and report any such reactions to the onsite technical personnel. Any subject showing adverse skin reactions will immediately stop participating. The treated skin will be gently washed with clean water and mild soap to remove the test product, and the area will be gently dried with a clean towel. The subject will cease further exposures to ticks.

On the testing day, a physician who has read the protocol and discussed the research with the Study Director will be on call. In unlikely event of a Type 1 allergic reaction (anaphylaxis), we will call 911 by cellular or land telephone and cooperate as instructed with emergency personnel. We will be prepared to instruct

emergency personnel how to reach our site via multiple routes. In addition, we will personally transport affected persons to the nearest hospital if so advised by emergency personnel. There is sufficient redundancy in personnel that in such a case, subjects remaining at the laboratory will still receive appropriate technical, scientific and safety guidance.

All subjects are asked to contact the Study Director and a physician of their own choice at any time should they develop a rash (a delayed hypersensitivity reaction) within 48 hours of the conclusion of the test day, or at any time should they have health concerns relating to their participation in the efficacy testing.

As part of medical management, the Study Director will record all benign and adverse health observations.

9.6 Subject training for research with ticks:

Approximately two weeks to four days before repellent efficacy testing, subjects will be trained by technical personnel in handling and observing ticks. Subjects will learn how to manipulate ticks with fine paintbrushes, place them on their own forearms, observe and quantify tick movement on their arms, and dispose of used ticks. This training will be documented. This 'hands-on' experience will assist subjects in collecting data accurately and handling ticks safely during the repellent efficacy trial. It is detailed in a training document appended to this protocol.

10 TEST VARIABLES AND THEIR MEASUREMENT:

10.1 Variables to be Measured:

Subject forearm surface area.

Subject self-dosing behaviors.

Weight of test materials delivered to the surrogate skin (gauze) dosimeters.

Number of tick crossings on the treated surface of the skin.

10.2 When Variable will be Assessed:

Dosage will be calculated on the basis of surface area of the lower limb skin that is treated. Measurements to calculate that surface area will be made on each subject in advance of application of the test materials.

Self-dosing behavior (distance of spray nozzles from skin, number of pumps or sweeps of delivery apparatus) will be measured at least two days prior to Test Day 1.

Passive dosimeters (described in section 10.1.3) will be weighed before application of the test materials and again between one and five minutes after application of the test materials.

Subjects will record any Crossings as they occur. Data are recorded in three-minute exposures at 1- minute intervals. The time at which the application of a treatment is completed is recorded as t_0 ('time zero'). The first exposure begins approximately 15 minutes after treatment.

10.3 Procedures for Assessing Variable:

10.4.1 Limb dimensions and surface area:

The term 'limb' refers to the forearm. The surface area of each limb is computed as the average of 4 evenly spaced circumferences (2 peripheral, 2 central) of the forearm (elbow to wrist) multiplied by the length of treatment area.

10.3.2 Familiarization with, and subject use, of the spray apparatus:

Variable assessment will involve a 2-step process, namely subject familiarization with the spray apparatus, followed by dosage measurement.

Subjects will practice applying the test material to their own limbs, following the procedure detailed in the Training Materials appendix, which a researcher will review for subjects before practice commences. That material explains, in simple language intentionally scripted as redundant (to emphasize the work's structure), the goals of this behavioral part of the study, describes the partnership between subject and technician in dosimetry data collection and details the procedures to be conducted.

10.3.3 Spray Sampling:

Spray Sampling is the procedure by which the spray is subsampled with patch dosimeters. Dosimeters of known surface area will be placed on subjects' limbs to intercept a portion of the spray applied to the arm. By weighing dosimetry patches before and after treatment, the mass of the intercepted material can be calculated. The spray delivery systems will also be weighed before and after each application.

Spray sampling will be conducted according to the procedure appended under Training Materials.

10.3.4 Lotion sampling:

The amount of lotion applied to limbs will be quantified in a series of 3 applications analogous to the Spray Sampling above. However, dosimeters are not required, nor are the extensive practice sessions. The amount applied is the weight difference in the dispensing tube before and after application.

10.3.5 Equipment Used to Assess Dosimetry Variables:

Passive dosimeters are 2.5-cm wide strips of Nexcare[™] Co-Flex[™] cohesive flexible bandage. They are applied to limbs in the manner of 'bracelets.'

Each test limb will be treated 3 times. Each subject will therefore use 12 bracelets per limb for dosimetry.

Bracelets will be weighed before and after treatment on a traceably calibrated Sartorius H51 balance (measurement increment 0.0001 g, 30 g capacity). Test material containers will be weighed before and after dispensing on a traceably calibrated Sartorius GC 2502 (measurement increment 0.001 g, 500 g capacity).

10.3.6 Repellency:

Before the repellent is applied, subjects will be guided to wash the lower arms with mild, low fragrance soap, rinsing them with a spray of ethyl alcohol (mixed with an equal part of water), and then drying them with a clean towel. A technician will then apply insect repellents to one forearm to give even, complete coverage of the skin on all sides of the arm. The treated area will extend a minimum of 10 cm along the forearm. The amount of repellent to be applied to any limb will be calculated in advance for each subject. The dosing rate will be the product of the subject's limb surface area multiplied by the grand mean (mean of all subject means) rate calculated in the dosimetry data analysis for that test material. Each subject will therefore be dosed at the same rate within a given repellent even if their individual application rates differed from the grand mean.

Three 'orientation' ink dots are arrayed longitudinally on both ventral forearms of each subject, at 3 cm intervals. On the treated arm (or treated portion of the arm if both arms are treated (see §8.3.2) the first dot is 3 cm distal to the treated area, the second dot marks the threshold of the treated area, and the third dot is 3 cm into the treated area. The untreated limb/limb portion has a spatially identical array of 3 lines for tick activity screening. The first dot, used for placement, insures that ticks are not placed within the treated area and so can detect a gradient of repellent density to which to orient. The second dot serves keep subjects aware of where the treated area begins and serves as a reorientation point for re-marking should either the first or the third dot become obscured.

We will employ a 15-minute exposure interval as a good minimum in terms of both the temporal resolution of the data set and subject ability to remain focused. Every 15 minutes, each subject selects an unused tick and tests it for active questing behavior as described. To initiate a screening or a repellent challenge, a tick is placed on the ventral arm or proximal palm, in the most hair-free portion, at the first (most distal line). Ticks are manipulated with the bristles of a fine artist's paintbrush. Ticks are placed so that they face the elbow. Ticks may be oriented to locomote toward the margin of the treated area with the gentle action of the paintbrush. Forearms should be held from approximately 30° to vertically above the lab bench surface if that increases the propensity of ticks to travel toward the body.

A crossing is scored if a tick travels at least 3 cm in a vector toward the elbow into the treated area (i.e., at least as far as the third line) within 3 minutes of beginning to move up the arm from the first line. A repulsion is scored when a tick changes its orientation away from, or parallel to, the margin of the treated area upon approach, or does not cross more than 3 cm toward the elbow within 3 minutes of entering the treated area.

Subgroups of approximately three subjects are led by a technician in the monitoring of time, ticks, and tick behavior. Time is monitored by referring to an electric chronometer with a highly visible display. The technician will record any crossings or repulsions as they occur. Repulsions are normally unambiguous reversals of direction. Subjects lift the tick off with the paintbrush after each assessment is complete. Any brushes that come into contact with a test material are discarded. Used ticks are immediately retired from the study by being transferred from the test arm to a container labeled "used".

Scientific Stopping Rule: Subjects are directed to cease tick exposures when a crossing is followed by another crossing within one-half hour, i.e., in either of the subsequent two exposure periods.

10.3.7 Forms for Retention of Source Data:

Dosimetry data will be recorded by a technician on a data form for each test formulation. Repellency data will be recorded by technicians on a repellency data form. Data forms are appended. The recording technicians sign and date the data forms.

10.4 Study Facility:

Data collection will take place in the main laboratory building and on the terrace of Carroll-Loye Biological Research in Davis, CA.

11 DATA ANALYSIS:

11.1 Experimental Unit:

The individual subject will be the experimental unit.

11.2 Replicates per Treatment:

For dosimetry, there will be 10 treated subjects. For efficacy testing, there will be 10 subjects treated with the test material and 2 serving as untreated controls, at each of 2 sites.

11.3 Statistical Methodology:

Statistics will be computed with SAS's JMP software, Version 5.0.1.2 (SAS Institute, Cary, NC).

11.3.1 Dosimetry:

Dosage will be calculated per square centimeter of skin. The amount of test material delivered to each dosimeter set in each trial will be calculated as:

weight after application – weight before application

The **total captured** by all treated dosimeters per trial will be calculated by adding the mass changes in all 4 dosimeters together, then subtracting or adding, respectively, any total weight gain or loss in the paired control dosimeters.

The **proportion covered** of the total limb surface area by the dosimeters is:

Surface area of a set of 4 dosimeters
Surface area of the limb

The estimated dosage per trial is:

Total captured x 1/proportion covered

Subject means and standard deviations will be calculated for all measures of dosimeter weight changes as well as application behaviors (distance from nozzle to skin, number of pump actuations). We will use subject dose means for the test material to calculate the dosing grand mean (± SD). That mean, expressed as repellent weight per unit skin surface area, will be converted to volume and used to determine individual subject doses in the field repellency test. To accomplish that, the test material's specific gravity will be used to convert the dosage weight data to volumes, prepared for each subject on the basis of their skin surface area.

Subject effects on dosing behavior will be examined with nonparametric tests for *n*- sample independent cases (Kruskal-Wallis tests). In multiple regression analysis, the average amount of test material intercepted by each subject's dosimeters, as well as dosing per unit of skin surface area, will be examined in relation to the distance from nozzle to skin, limb size and the number of times the pump was actuated. The relationship between dosing behavior and dosage will also be examined with Spearmanrank correlation tests.

11.3.2. Repellency:

Because all subjects use different ticks, all ticks are used only once, and neither organism interacts directly with conspecifics at the level of the skin and the repellent during data collection, we will analyze data by subject as independent, replicated values. The hypothesis that the test materials will significantly reduce the number of ticks Crossing treated versus untreated skin is *not* the focus of this study. The focus is to compute, for each test material, a reasonable estimate of mean and standard deviation for the duration between application and sufficient repellency breakdown such that two ticks crossing on a subject within a half hour period. That pattern is here assessed at a resolution of 15 minutes.

Complete protection time (CPT) is measured as the length of time from initial application to the First Confirmed Crossing. A FCC is a Crossing followed by another Crossing within 30 minutes. For example, a Crossing at 90 minutes followed by another at 135 minutes is not confirmed, but a third Crossing at 150 minutes would confirm that at 135 minutes, giving a CPT of 135 minutes.

CPT measured in this way will yield a single time value for each subject. Mean CPT will be calculated across all 10 subjects per treatment, and will be presented with standard deviation and 95% confidence interval information as well.

Because all subjects serve as untreated controls to verify tick questing sufficiency, Relative Protection (RP) may also be calculated. Its utility is limited to the time period from first exposure until the first subject testing a given repellent is withdrawn by invoking the Stopping Rule (after which continued calculation of RP would likely bias its value in favor of repellency). Within that limit, RP evaluation provides complementary information when considering CPT. Such complementary information is important because it gives a rate function for performance the is intuitively explicable, and also because CPT is not currently discussed

in the draft EPA guidelines for tick testing. RP is calculated for each subject as a function of the total number of challenges in which ticks did not cross the barrier divided by the total number of challenges made. (Normally no ticks are repelled from the untreated controls.) Specifically, RP is the percentage prevented from crossing on the treated arm relative to the untreated arm, which is calculated as {[1 - (Mean comparator/Mean Untreated)]100} per unit time. Most simply, that time may be, e.g., per hour, with RP calculated for each hour as illustrated in the draft EPA guidelines of 12 June 2006. For each subject, cumulative RP may also be calculated for each time interval. This is the mean RP across all time intervals up to the selected point. In our design, Cumulative RP may be assessed at a maximum resolution of 15 minutes.

The decision to calculate means, standard deviations and 95% confidence intervals is based on the requirements for such estimates in the EPA draft repellent efficacy testing guidelines (1999; OPPTS 810.3700). While EPA staff have indicated at recent HSRB meetings that those guidelines remain under development, they are the de facto standards at present. Accordingly, despite stark statistical weaknesses in making such estimates for samples as small as, e.g., 6 subjects, for consistency with acting standards, we include them. Note that no normalizing data transformations are appropriate in their estimation. Further, as no statistical comparisons are planned, there are no other contexts for normalizing repellency data in the proposed study. To partially ameliorate the shortcomings, our chosen sample size is 10 subjects, which will improve precision in estimating product performance. This sample, which is larger than that traditionally required by US EPA, is implemented at considerable expense to the study sponsor, but is consistent with suggestions from HSRB advisors to EPA.

To further improve the utility of the data set, we propose to use Kaplan-Meier estimates of the survival function of repellency with time since application (Complete Protection Time). Kaplan-Meier analyses provide median estimates with substantially reduced error estimates compared to means and standard deviations; in particular, they are much

less sensitive to data censoring. Moreover, they do not rely on assumptions of data normality.' Combining a much larger sample with the Kaplan-Meier estimate of repellent survival improves our ability to estimate the true temporal performance function of test materials in the population

12 STUDY LOCATION(S):

Carroll-Loye Arthropod Behavior Laboratory at the letterhead address.

.

13 QUALITY ASSURANCE:

A separate, professional Quality Assurance Unit (QAU) will inspect the study. The QAU will report to the Study Director. Protocol Review and Comments must take place before data collection commences. In-Life Inspection must include observing the measurement and recording of key variables by subjects and researchers. In addition, the Final Report will be audited for completeness and accuracy. A QAU Statement will address compliance and noncompliance or any omissions in auditing. Findings from the In-Life Inspection and the Final Report, as well as the QAU Statement, will be transmitted to the Study Director and to the Sponsor Monitor.

14 PERSONNEL:

14.1 Investigator (Study Director):

Dr. Scott Carroll

14.1.1 Address:

Carroll-Loye Biological Research 711 Oak Avenue Davis, CA 95616

14.1.2 Telephone:

530-297-6080 530-297-6081 (Facsimile)

14.1.3 Training and experience of investigator:

CV on file with Carroll-Loye Biological Research

14.2 Study Monitor:

Charlie Duckworth Division Vice President, Home & Garden R&D

14.2.1 Address:

Spectrum Brands, Inc 13260 Corporate Exchange Dr. Bridgeton, MO 63044

14.2.2 Telephone:

314-683-2753, 314-254-5907 (Facsimile)

14.3 Quality Assurance Unit:

Dr. William Donahue

14.3.1 Address:

Sierra Research Laboratories 5100 Parker Road Modesto, CA 95357

14.3.2 Telephone:

209-521-6380

14.3.3 Training and experience of QAU:

CV on file with Carroll-Loye Biological Research

15 AMENDMENTS AND DEVIATIONS TO THE PROTOCOL:

Protocol amendments or deviations will be reviewed by the Study Monitor and the Study Director. Any changes that may affect the health or safety of study participants must be approved the Study Director, the State of California Department of Pesticide Regulation and the approving IRB. The amendments, deviations and any adverse events will be documented in the Study Director's final report. Documentation will include a description of the change, the reason for the change and the effect of the change on the conduct and outcome of the study.

16 PROTOCOL APPROVAL SIGNATURES:

Ett Carril	10 July 2007
Scott P. Carroll, Ph.D. Study Director	Date

Charlie Duckworth Sponsor Monitor 7 | 13 | 200)



Your Advocate for Clinical Research Participants

Kim Lerner

Chairman

DATE: July 17, 2007

Anita McSharry, R.N. President

TO:

Scott P. Carroll, PhD

Principal Investigator

FROM:

Kim Lerner, Chairman or

Anita McSharry, Vice-Chairman

Independent Investigational Review Board, Inc.

SUBJECT:

Approval Clinical Research Protocol dated: 7/10/2007

- Informed Consent Form (Ver. 7/17/2007)

- Site Questionnaire

The Experimental Subject's Bill of RightsAdministrative Letter dated 7/17/2007

PROTOCOL:

(SPC-002) EFFICACY TEST OF PICARIDIN-BASED

PERSONAL TICK REPELLENTS

The Independent Investigational Review Board, Inc. is an institutional review Committee structured in compliance with the regulations of the Food and Drug Administration contained in the Code of Federal Regulations (2ICFR 50 and 56 and 45CFR 46) and is in compliance with the International Conference of Harmonization (ICH) Good Clinical Practice (GCP) guidelines for IRB/IECs.

At the meeting held on July 17, 2007, the Committee reviewed and unanimously approved the Research Protocol, the Investigator, Informed Consent Form, Administrative Letter and The Experimental Subject's Bill of Rights for the above noted research study. The Site Questionnaire was reviewed and unanimously accepted.

The Informed Consent Form is unanimously approved as submitted. The approved Informed Consent Form is identified as Version 7/17/2007 and stamped, "Approved 7/17/2007". The Informed Consent Form contains all regulatory required consent elements. The Experimental Subject's Bill of Rights is stamped "Approved 7/17/2007".

The study has been approved for a 12 month period. At the end of this time, you are required to provide the Independent Investigational Review Board with a written progress report and completed Informed Consent Form for this research and obtain approval for continuing the research. Changes to the protocol or use of non-approved recruitment materials cannot be initiated without IIRB review and approval.

US EPA ARCHIVE DOCUMENT

Page: 2 July 17, 2007 Scott P. Carroll, PhD; SPC-002

In the event of any serious adverse events, significant deviations from the protocol or problems in the research, written notice to the Independent Investigational Review Board is required. Please provide this reporting to the above-noted address so that appropriate follow-up will be initiated.

Thank you for your cooperation.

KL/AMS/yc:rr

EXPERIMENTAL SUBJECT'S BILL OF RIGHTS

Any person who is requested to consent to participate as a subject in a research study involving a medical experiment, or who is requested to consent on behalf of another, has the right to:

- 1. Be informed of the nature and purpose of the study.
- Be given an explanation of the procedures to be followed in the medical experiment, and any drug or device to be used.
- 3. Be given a description of any attendant discomforts and risks reasonably to be expected from the experiment.
- 4. Be given an explanation of any benefits to the subject reasonably to be expected from the experiment, if applicable.
- 5. Be given a disclosure of any appropriate alternative procedures, drugs, or devices that might be advantageous to the subject, and their relative risks and benefits.
- 6. Be informed of avenues of medical treatment, if any, available to the subject after the experiment if complications should arise.
- 7. Be given an opportunity to ask any questions concerning the experiment or the procedures involved.
- 8. Be instructed that consent to participate in the study may be withdrawn at any time, and the subject may discontinue participation in the medical experiment without prejudice.
- 9. Be given a copy of a signed and dated written consent form when one is required.
- 10. Be given the opportunity to decide to consent or not to consent to a medical experiment without the intervention of any element of force, fraud, deceit, duress, coercion or undue influence on the subject's decision.

Signature of Subject	Date
Signature of Witness	

APPROVED BY Independent IRB

Signature

7/17/2007

Date

INFORMED CONSENT AUTHORIZATION TO PARTICIPATE AS A RESEARCH STUDY SUBJECT

Title of Study:	(SPC-002) EFFICACY TEST OF PICARIDIN- BASED PERSONAL TICK REPELLENTS
Principal Investigator:	Scott P. Carroll, Ph.D. Carroll-Loye Biological Research 711 Oak Avenue Davis, CA 95616 (530) 297-6080
Site of Investigation:	
Sponsor:	Spectrum Division of United Industries Corporation
Portioinant's Name:	

You are being asked to participate in a research study. Your participation is voluntary. The information in this Informed Consent Form explains the study. You will receive a copy of this form, and you may take it home to think about before making your decision. If you have any questions or do not understand anything in this form, please ask the Principal Investigator to explain any words or information you do not clearly understand.

NATURE AND PURPOSE

Carroll-Loye Biological Research is conducting this research study as part of an effort to develop and market effective tick repellents. Many people are interested in having new and better repellents available to them. The repellents that we will study were developed with improved formulations of the ingredient Picaridin, which is relatively new to the U.S. market. More studies are needed to determine how well such new insect repellents work.

The purpose of the study is to test how well this insect repellent, in lotion and pump spray formulations, works in the laboratory against two types of ticks. The formulations have similar concentrations of Picaridin (5-15%) as products already being sold. The information gained from the study will assist in developing these repellents for commercial marketing. During the study, we will first measure how much insect repellent subjects put on their own arms and legs during a visit to the study laboratory. On a later date, we will test the insect repellents against the ticks. You may be asked to participate in one or in both parts of the study.

Version: 07/17/07 Protocol: SPC-002

APPROVED BY Independent IRB	7/17/07	
Signature	Date	

Initials: _____ Date: The sponsor, Spectrum, has contracted Carroll-Loye Biological Research to conduct the study. Scott Carroll, Ph.D., of Carroll-Loye Biological Research is the Principal Investigator (Study Director) in charge of the study.

SUBJECT SELECTION

You have been offered an opportunity to participate in this research study because you read and speak English, consider yourself to be in good physical condition and are 18-55 years old. If you are a female of child-bearing potential, you cannot be pregnant or breastfeeding.

Up to about 45 volunteers will complete this research study. A few more subjects will be enrolled than are needed in order to make up for anyone who is unexpectedly unable to participate once testing begins. If more subjects are present than are needed for any part of the test, you may be asked not to participate, but will instead be an 'alternate subject' who may be contacted to participate later if needed. If you are designated as an alternate, you will be compensated for your participation up to that point, and for your inconvenience.

STUDY INTRODUCTION AND DURATION

Schedule of visits and time required to participate in the study

Activity	Visit 1 (2-30 days	Visit 2
	before the field test)	
1. Orientation and Dosage visit	X	- 70 debts
Field study visit		Х
Total time	2-2.5 hours	8-14 hours

You will be given a training manual and will have a chance to review it and to read along with the instructions.

Visit 1 for Orientation and determining dosage

Within 30 days before the second visit (in which we will test the repellents against ticks), you will meet with a researcher to perform orientation activities for the repellent study. The researcher will tell you more about what you will experience while participating and what is expected of you, and you will sign this consent form. You may also work with a researcher to determine how much insect repellent you will apply. Completing these measurements will take 1.5-2 hours.

You will also be shown how handle ticks on your skin with a small artist's paintbrush. This training and practice will take about ½ hour.

The total time for Visit 1 activities will be about 2.0-2.5 hours.

Version: 07/17/07 Protocol: SPC-002

APPROVED BY Independent IRB	/17/07	Initials: Date:
Signature	Date	

Visit 2 for the Tick Repellent Test

The study will also require a second visit to the same laboratory. This second visit will most likely require approximately 10 hours of your time. However, it may require as few as 6 hours or many as about 14 hours, depending on how long the repellents remain effective. Bathrooms are available, and meals, drinks and snacks will be provided.

STUDY PROCEDURES Study Design

This study will test the effectiveness of three different insect repellent products, namely a lotion with sunscreen, 7% Picaridin pump spray, and 15% Picaridin pump spray. You will test no more than one repellent against ticks in a day, and will test each repellent only once. Depending on the number of days on which you participate, you may test from one to three repellents. However, you will not have a choice as to which repellent you receive on a given test day. For each product assigned to you, you will have an amount typical of what people commonly use applied to one or both of your forearms.

If you are a female, you will perform a pregnancy test using an over-the-counter (OTC) pregnancy kit prior to the start of each of your study visits. If you are pregnant, you will not be allowed to participate in the study. Information regarding your pregnancy test results will be kept in confidence.

Procedures

Visit 1

At the laboratory, you will spend about 30 minutes practicing handling ticks in the laboratory in preparation for the repellent study. A researcher will show you how to catch the ticks, place them on your skin, take them off, and place them in a container. You will practice these tasks several times in order to familiarize yourself with how to handle the ticks carefully and successfully. You may ask the research staff for advice on how to do this at any time while you are practicing. The ticks used for this training are reared in the laboratory and free from diseases.

In addition, a researcher will measure the length and circumference of your forearms. If you are participating in this next part of the study, you will then practice using the product to decide how you best like to apply it and how much you would apply to your forearms in order to have thorough and even coverage. The researcher will answer any questions you have about the application. Once you have a method you are satisfied with, you will wash your arms with soap and water and dry them with a towel. The researcher will then ask you to apply an amount of the repellent product to your skin that you think gives complete and even coverage. We will use the amounts you and other subjects apply in this part of the study to determine how much repellent people normally apply.

Version: 07/17/07 Protocol: SPC-002

	APPROVI		
1	, Îndepende	ent IRB	
i/	<i>j</i> '		
4~1		7/17/07	
	Signature	Date	-
	Oignature	Date	

Initials: _____ Date:

Visit 2

This is the day of the actual repellent study. You will first be guided to wash your lower arms with mild, low fragrance soap, rinsing them with a spray of ethyl alcohol (mixed at 35% in water), and then drying them with a clean towel. Experienced personnel will then apply repellents to one of your forearms to give even, complete coverage of the skin. The amount of repellent applied on an arm is likely to be no more than about ¼ teaspoon. Your other arm will not be treated, but will instead be used to determine whether each tick is active enough to be tested on your treated arm.

You will be part of a group of about 6 treated subjects seated at a laboratory table, and experienced personnel will lead you in handling and keeping track of the ticks, of the time, and of your tick observations. Every 15 minutes, you will test a new tick from one species first on your untreated and then on your treated arm, and report the results to your leader. You will then repeat that sequence with a tick of the other species. Together testing the two ticks will usually take between 5 and 10 minutes to complete. At times you may need to stand in order to so that the ticks may climb upward, which is their preference.

Every 15 minutes a project leader will announce the beginning of the next period for testing the treated skin. You will continue in this way until a tick of each species crosses the repellent in two of three consecutive periods, as long as you are comfortable. There will time for brief breaks to eat and use the bathroom between test periods.

A research staff mentor may ask if you would like to participate on two or more additional days to test the other two repellents. Your further participation is completely optional.

RESTRICTIONS

- You must not be a student or employee of the Principal Investigator.
- · You must not have a phobia of ticks.
- You must not be sensitive to any of the test product ingredients.
- You must regularly spend time in outdoor settings.
- You must not have used repellents within a day prior to the start of the study.
- You must not use perfumed products after 9 p.m. the night before and throughout the tests.
- You must refrain from smoking or consuming alcoholic beverages after 9 p.m. the night before and throughout the tests.

Version:	07/17/07
Protocol:	SPC-002

APPROVED BY Independent IRB	Initials: Date:
<u>7/17/07</u>	
Signature Date	

RISKS / DISCOMFORTS

If at any time you feel ill, inform the Principal Investigator (or anyone else who is assisting to direct the study) immediately, and you will be taken to receive medical attention at the nearest healthcare facility. You may also request access to standard first-aid materials (such as bandages, antiseptics and mild antihistamines) and request first-aid assistance at any time. You may remove yourself for any reason from the study at any time. At least one qualified researcher will remain with the other test subjects if other researchers depart with an injured or ill subject.

The repellents will irritate the eyes on contact, and is harmful if swallowed. You may obtain more information about the safety of the repellents by asking a technician at any time. You will be given the Material Safety Data Sheets, which list product safety details similar to those found on commercial product labels.

Measures will be implemented to make sure that ticks are removed before they have an opportunity to bury in the skin.

PREGNANCY RISKS

The risks to the unborn are unknown and may be hazardous. If you are a woman of childbearing potential, it is important that you do not participate in this study if you are, or if you think you may be pregnant, or if you are lactating.

Pregnancy will be self-checked by each female volunteer on the morning of the repellent test using an OTC test kit provided by the Study Director. Results of each such test will be immediately verified by direct inspection by a female technician trained to make that assessment.

UNKNOWN / UNFORESEEABLE RISKS

In addition to the risks and discomforts listed above, there may be some unknown or infrequent and unforeseeable risks associated with using this product, including allergic reaction or interaction with a medication. You will be informed in a timely manner both verbally and in writing of any new information, findings or changes to the way the research will be performed that might influence your willingness to continue participation in this study.

RESEARCH-RELATED INJURIES

If you are injured as a result of being in this study, a consulting physician who is aware of the study will be contacted immediately by telephone. Medical treatment will be available from a healthcare facility. Carroll-Loye Biological Research will cover the costs of such medical treatment that are not covered by your own insurance or by a third party. If necessary, Carroll-Loye Biological Research will transport you to receive medical attention and pay costs associated with the reasonable and appropriate treatment for any injuries incurred as a result of

Version: 07/17/07 Protocol: SPC-002

	APPROVED BY / Independent IRB	
	Km fe 7/17/07	-
Ĺ	Signature Date	

Initials:

Date:

participation in the study. For further information about this, volunteers should call the Carroll-Loye Biological Research office at (530) 297-6080.

You **DO NOT** waive your legal rights by signing this form.

TREATMENT ALTERNATIVE

Since this study is not intended to provide any therapeutic or other health-related benefit, your alternative is to not participate in this study.

BENEFITS

There are no immediate benefits to you from your participation. However, by serving as a participant, you may assist in making new insect repellent products available to consumers.

OFFER TO ANSWER ANY QUESTIONS ABOUT THIS STUDY

If you have any questions or problems during this study, or if you think that you may have experienced a research-related injury, you should contact Scott Carroll of Carroll-Loye Biological Research at (530) 297-6080 or (530) 902-8267.

If you have any questions regarding your rights as a research volunteer, please contact Kim Lerner, Chairman of the Independent Investigational Review Board, Inc. toll-free at (877) 888-IIRB (4472) during regular working hours. The Independent Investigational Review Board is a committee established for the purpose of protecting the rights of volunteers in a research study.

COSTS AND REIMBURSEMENT

There will be no costs to you from participating in this study.

For participation in the study, each research study participant will receive a cash payment of \$20 per hour. Payment will be made at the end of each visit or whenever you withdraw from the study. If you are designated as an 'alternate subject,' you will be paid for the hours you spent being trained, plus an additional \$50 to compensate you for being inconvenienced.

CONFIDENTIALITY

Carroll-Loye Biological Research will retain records of this study indefinitely. You may access your own records by contacting the Study Director however, you may not have access until the study has completed. Representatives from the Sponsor (Spectrum), the U.S. Environmental Protection Agency (EPA), the California Department of Pesticide Regulation and the Independent Investigational Review Board, Inc. (an independent committee that reviewed this study's ethical aspects to help protect the rights and welfare of study participants) may have access to all non-personal information collected in this study. Because of the need to release information to these parties, absolute confidentiality cannot be guaranteed. Any

Version: 07/17/07 Protocol: SPC-002

APPROVED Independent		
- Kul		-
Signature	Date	

Initials:	
Date:	

information or reports published as a result of this study will not identify you by name, or by any other personal identification.

STATEMENTS OF UNDERSTANDING Right to withdraw or removal from study

I understand that I am free to withdraw from this study at any time, and I agree to inform the Principal Investigator immediately if I intend to withdraw. It is understood that my decision to participate in this study or to withdraw from this study will not influence the availability of my future medical care and will involve no penalty or loss of compensation to which I am otherwise entitled. I may withdraw from this study at any time.

I agree that the Principal Investigator in charge of the study can remove me from this study without my consent for any reason, including, but not limited to:

- a. His/her judgment that any condition or circumstance may jeopardize my welfare or the integrity of the study.
- b. My failure to follow the instructions of the investigator(s).
- c. If the study is stopped by the sponsor and/or Principal Investigator prior to completion.

Consent and signatures

I have read, in a language that I understand well, and understand the information which has been stated above. I have received satisfactory answers to all of the questions that I have asked. I hereby voluntarily consent to take part in this study and to be a research study participant in this study. I do **not** waive my legal rights by signing this Informed Consent Form. I shall receive a copy of the signed Informed Consent Authorization.

Date/Time	Print Subject Name	Sign Subject Name
Date/Time	Print Carroll-Loye Biological Research Representative	Sign Carroll-Loye Biological Research Representative
Copy of consen	t given to subject: (DATE)	BY (INITIALS)
Independent Inv Approval: 7/17/	restigational Review Board, Inc. 07	
Version : 07/17/07 Protocol: SPC-002	APPROVED E Independent I	Doto:

Date

Signature

10 July 2007	SPC-002	Page 46 of 70
--------------	---------	---------------

Limb Measurement Form

Study:	Date:
Subject number:	Data recorder name:
	Data recorder signature:

Note: all measurements in cm

			Circumference					
Limb	Length	Length/3 ¹	Lower (A)	Lower-mid (B)	Upper-mid (C)		Mean circumference ²	Surface area ³
Left forearm								
Right forearm								
Left lower leg								
Right lower leg								

¹ For placing dosimeters in pump spray & aerosol studies. 'B' is 1/3 Length from 'A' (wrist/ankle), 'C' is 1/3 Length from 'B' & 'D' (elbow/knee crease).

² Sum of the four circumferences measured per limb, divided by 4.

³ Product of mean circumference and length

SPC-002

Untreated Dosimeter Control Dosimetry Form

Weights of untreated dosimeters before and after placement on limbs

Study:		Date:	
Subject number:		Data recorde	er name:
Test article:		Data recorde	er signature:
	Control trial dosimeters		

Arm	Mass before (g)	Mass after (g)
Left		
Right		

Leg	Mass before (g)	Mass after (g)
Left		
Right		

DOCUMENT **EPA ARCHIVE**

SPC-002 **Lotion Dosimetry Form**

Study:			Date	e:			
Subject nu	Subject number: Data recorder name:						
Test article	: :		Data	a recorde	er signature:		
Left Arm Pra	actice Applicatio	n	Righ	nt Arm P	ractice Applicati	on	
Trial number Practice	Mass before (g)	Mass after (g)		number actice	Mass before (g)	Mass after (g)	
Practice Practice							
Left Arm Sa	mpling		Righ	nt Arm S	ampling		
Left Arm Sa Trial number		Mass after (g)		nt Arm S	ampling Mass before (g)	Mass after (g)	
		Mass after (g)				Mass after (g)	
Trial number		Mass after (g)		number		Mass after (g)	
Trial number		Mass after (g)		number 1		Mass after (g)	
Trial number 1 2		Mass after (g)		number 1 2		Mass after (g)	
Trial number 1 2 3			Trial	number 1 2 3			
Trial number 1 2 3	Mass before (g)		Trial	number 1 2 3	Mass before (g)		

Left Leg Sampling						
Trial number	Mass before (g)	Mass after (g)				
1						
2						
3						

Right Leg Sampling							
Trial number	Mass before (g)	Mass after (g)					
1							
2							
3							

Pump Spray Dosimetry Form

SPC-002

_	
Ц	
7	
5	
5	
5	
3	
-	
Ц	
>	
Ξ	
כ	
Z	
7	
•	
1	
ī	
П	

Study:

Subject number:

Test article:

Date:

Data recorder name:

Data recorder signature:

Left Arı	Left Arm Practice Application								
Trial	Cm from	# pumps	Container	Container					
number	skin	to cover	before (g)	after (g)					
Practice									

Right A	rm Practio	ce Applica		 	
Trial number	Cm from skin	# pumps to cover	Container before (q)	Container after (q)	
Practice			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		

Left Ar	Left Arm Sampling									
Trial	Cm from	# pumps	Container	Container	Dosimeter	Dosimeter				
number	skin	to cover	before (g)	after (g)	before (g)	after (g)				
1										
2										
3										

Right Arm Sampling									
Trial number	Cm from skin	# pumps to cover	Container before (g)	Container after (g)	Dosimeter before (g)	Dosimeter after (g)			
1									
2									
3									

Left Leg Practice Application								
Trial	Cm from	# pumps	Container	Container				
number	skin	to cover	before (g)	after (g)				
Practice								

Right Leg Practice Application									
Trial	Cm from	# pumps	Container	Container					
number	skin	to cover	before (g)	after (g)					
Practice									

Left Le	Left Leg Sampling									
Trial number	Cm from skin	# pumps to cover	Container before (g)	Container after (g)	Dosimeter before (g)	Dosimeter after (g)				
1										
2										
3										

Right L	Right Leg Sampling									
Trial number	Cm from skin	# pumps to cover	Container before (g)	Container after (g)	Dosimeter before (g)	Dosimeter after (g)				
1										
2										
3										

July 2007	SPC-002	Page 50 of 70
-----------	---------	---------------

Efficacy Dosage by Subject

Study:	
Test Material:	Specific gravity:

Subject no.	Limb	Length (cm)	Lower ·	Lower- mid - B	Upper- mid - C	Upper- D	Whole surface (cm²)		Total dose by limb ml	
-										
-										
_										

Efficacy dosage by subject.xls

Surface area = $[(A+B+C+D)/4] \times Length$ Dose rate in g is from dosimety analysis Dose rate in ml = dose rate in g/specific gravity Total dose by limb ml = Dose rate ml/cm2 x Surface area

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

Test Reference: SPC-001

CLBR Training Manual

§1.c. Practicing and performing dosimetry with a Pump Spray delivery system

A. Goals of exercise

- 1. Determine your preferred practices for applying ('self-dosing') a pump spray repellent to your arms and/or legs.
- 2. Assist technicians in measuring the amount of repellent that you apply when using your practices

B. General information

- 1. A technician will measure the surface area of your forearms and/or lower legs. He or she will introduce you to the repellent and its dispenser
- 2. You will work in open air, practicing applying the repellent. A technician will help you keep track of your preferred technique.
- 3. Using small gauze "bracelets" around your limbs to collect samples of repellent you spray on, you will apply repellent with your preferred practices several times. The bracelets will be quickly removed and weighed. You will thoroughly wash your limbs with a gently skin cleaner between each application of repellent.

C. Materials and equipment needed

- 1. Test materials
- 2. Latex or vinyl gloves (various sizes)
- 3. Bracelet dosimeters with nonabsorbent backing
- 4. Temperature, humidity and wind speed measuring devices
- 5. Written copy of the procedures for subjects to read
- 6. Flexible metric rule

1. Study subjects

e. Dosimetry (pump spray only) i. practice ii. performance

(v. 1, 16 January 2007)

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

D. Practicing the methods and performing the measurements

Measuring arms and legs¹:

'Limb' is used to refer to your forearm and/or your lower leg. A technician will measure the distance around your limbs at four evenly spaced places on the forearm (elbow to wrist) and lower leg (back of knee to ankle), and also length of those limbs.

Working with the pump spray and determining your preferred method of applying the repellents:

Your trainer/technician will help to introduced you to how the spray bottle works and how you will determine your preferred methods of applying them. You will read the written procedures that follow here together.

"Read along on your copy of the procedure as the Researcher reads them to you. Ask questions of the Researcher as they occur to you or at any time thereafter. Be sure to get answers to any questions you feel should be answered before proceeding at any step of this work.

This is a study of your behavior in applying spray insect repellents. You may have had experience with applying pump spray products of some kind to your skin before. If you are uncertain about how to use a spray dispenser, be sure to ask the Researcher or one of the technicians. You will each have the opportunity to practice these procedures with the aid of a technician.

Insect repellents function to repel insects from biting the skin. Their effectiveness is influenced by the completeness of their application to the skin surface. Our goal is to determine your preferred method for achieving **full coverage**. At minimum, **full coverage** is defined as a continuous and complete layer of test material. Orienting the limb to light may aid in determining whether full coverage has been achieved. Spray as much as necessary to achieve full coverage.

The term 'limb' refers to the forearm and ¹the lower leg. The surface area of each limb is computed as the average of four evenly spaced circumferences (two peripheral, two central) of the forearm (elbow to wrist) or lower leg (back of knee to ankle) multiplied by the length of treatment area. The locale along the limb at which each circumference is taken will be recorded (for later use to place dosimeters) as the distance in centimeters from the distal margin of the site of the most distal circumference site (i.e., at wrist or ankle).

1. Study subjects

e. Dosimetry (pump spray only)
i. practice
ii. performance
(v. 1, 16 January 2007)

¹ Limb dimensions and surface area (technical details):

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

In these instructions, the act of spraying a repellent on your limb will be termed 'spraying', 'application', or 'dispensing.'

You may work with the spray on your arms, legs, or both. The technician will inform you. Wash your limbs to be tested thoroughly with the provided cleanser and dry with a clean towel. Place new latex or vinyl gloves on each hand, choosing the size that fits you most snugly without being uncomfortably restricting or likely to tear when you put them on.

You will work with a technician who will assist you in measuring and recording your use of a repellent product in a pump spray delivery system.

First, familiarize yourself with the spray mechanism. Any actuation (pushing down on the pump plunger) of the spray must take place out-of-doors. Work at a distance of no less than 6 feet (1.9 meters) from other subjects. Do not dispense the spray at or near your face or anyone else's. Minimize inhalation of airborne spray while working.

Testing will take place out-of-doors during daylight hours at an air temperature (shade) above 10 °C (50 °F) and wind speed below 12 kph (7 mph), with no precipitation. The researcher or a technician will inform you when these conditions are not met and spraying of the repellents will cease until those conditions resume.

Dispense the spray on one limb designated by the technician. By successively moving the spray nozzle closer to and farther from the limb, identify a distance between nozzle and skin that seems most appropriate for effective application to the skin. The technician will measure and record that distance to the nearest centimeter on the provided datasheet.

Have the technician wash and dry the treated limb so that none of the repellent you have applied is visible on close inspection.

Now, using the spray nozzle at or near the distance from the skin that you have just chosen to be effective for application, determine the minimum number of actuations (pumps of the pump spray). Depress the plunger fully each time, and count them aloud beginning with "1, 2, 3" etc. If you partially depress the plunger (rather than fully depress it) in order, e.g., to apply to a small skin area not covered be initial application, report that to the technician as a "half pump." Each partial depression should be so reported as it occurs. If on any given actuation material fails to be delivered, do not count that actuation. If a partial amount is delivered, estimate its volume as 'whole', 'half' or 'none' and report it as such. For 'none', simply resume counting at the next actuation that delivers material to the skin.

Report the count to the technician who will record it on the data sheet. The technician will also assist you in keeping track of whole versus half pumps. Discard your latex gloves, and wash both test limbs (arms or legs) with cleanser and dry them thoroughly with a towel.

Study subjects
 e. Dosimetry (pump spray only)
 i. practice
 ii. performance
 (v. 1, 16 January 2007)

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

Next, repeat the application procedure and collect the same data for the other limb. In doing so, try to be consistent with your use of the spray apparatus. If you are clear and confident about the distance from the limb that works best, pay enough attention to keep the nozzle in that general range while maintaining a natural delivery as you would use the product under normal personal use. Keep the nozzle aimed at the skin surface, and avoid orienting the containers in any ways that you determine, as you proceed with the trial, to interfere with delivery of the repellent to the skin surface.

Now move on to the **Spray Sampling** exercise described in the next section."

Spray Sampling²

Spray Sampling is the procedure by which the spray is "subsampled" with "patch dosimeters". Dosimeters of known surface area will be placed on subject lower limbs. These dosimeters will intercept a portion of the spray applied to the limbs. Be weighing dosimetry patches before and after treatment, the mass of the intercepted material can be calculated. The spray delivery systems will also be weighed before and after each application.

Spray sampling will be conducted according to the following procedure.

"Please read along with the Study Director as he reads aloud the following description of the procedures you will employ in spray sampling. Please be sure to ask questions at any point.

This procedure is very similar to what you have just performed. The main difference is that for spray sampling, a technician will place four narrow rings of plastic-backed gauze around each of your test limbs. The rings are about one inch (2.5 cm) wide. Each of these "gauze bracelets" will be centered on each of the four positions on the limb at which we initially

Passive dosimeters are 2.5 cm wide strips of 3M Brand NexcareTM Co-FlexTM self-adhesive roll gauze.

- a) Subject number
- b) L (for left placement) or R (for right arm placement)
- c) Position letter: a (wrist), b (next proximal), c (next proximal), d (elbow)
- c) T (for treatment) or C (for control)
- d) Replicate number (1, 2 or 3)

There will be eight bracelets per replicate. Each arm and/or leg will be treated three times. Each subject will therefore have a total of twenty-four or forty-eight custom bracelets made and labeled in advance.

Bracelets will be weighed before and after treatment on a traceably calibrated Sartorius H51 balance (measurement increment 0.0001 g, 30 g capacity). Test material containers (pump spray and aerosol) will be weighed before and after dispensing on a traceably calibrated Sartorius GC 2502 (measurement increment 0.001 g, 500 g capacity).

```
    Study subjects
    e. Dosimetry (pump spray only)
        i. practice
        ii. performance
        (v. 1, 16 January 2007)
```

² Equipment Used to Assess the Dosimetry Variable (technical detail):

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

measured the circumference. These positions may be marked on the skin with small but visible dot using a temporary marker.

The function of the "gauze bracelets" is to capture some of the spray that would otherwise reach your limb as you apply the test products. It is important that you do not alter the way in which you apply the materials in any intentional or substantial way from what you have already determined is your best procedure. The technician will review your results from your previous applications with you to assist you in repeating your general procedure (distance of nozzle to skin, number of spray pumps or aerosol sweeps) as you apply the materials to one of your limbs with the bracelets in place.

The gauze bracelets are narrow in order to minimize the extent to which your sensation of receiving the spray on the limb is changed. Do your best to proceed as if the sensation is not changed. In other words, attempt to avoid spraying additional material onto areas under the bracelets where the sensation of test material on the skin will be different or absent. Do not attempt to spray additional material directly onto a bracelet unless it is within an area that needs additional treatment. Again, attempt to repeat the procedure that you have already developed, and apply the materials "as if the bracelets were not there."

Put a new latex glove on each hand. Spray material onto one limb only. The technician will tell you to which limb to apply spray. You and the technician will collect the same data as previously.

After you have completed spraying, keep both limbs from making contact with any surface. All bracelets will be removed by a technician and taken for weighing.

Discard your gloves, and wash both limbs with cleanser and dry them thoroughly with a towel.

Repeat these procedures until you have made at total of three spray samples for the first limb, and three more for the second limb. If you have completed sampling on, e.g., both arms, the technician may then ask you to repeat the same measurement on both legs. Be sure to discard your gloves, and wash all limbs with cleanser and dry them thoroughly with a towel, including after the last application."

1. Study subjects

e. Dosimetry (pump spray only)
i. practice
ii. performance
(v. 1, 16 January 2007)

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

CLBR Training Manual §1.b. Handling ticks and observing their movement on the skin

A. Goals of exercise

- 1. Learn to move and handle ticks using a fine artist's paintbrush in preparation for participate in a tick repellent study.
- 2. Learn to observe ticks and measure their movement in preparation for collecting data on the effectiveness of a repellent against ticks.

B. General information

- 1. A technician will show you how you how to remove ticks from a plastic vial using a small paintbrush, how to avoid injuring the ticks, and how to place them on you arm and remove them, and how to dispose of them.
- 2. A technician will draw three lines on your forearm, each 3 cm apart. You will practice placing ticks on the arm and both watching and timing their movement in relation to those lines.
- 3. You will work one tick at one time. The ticks are reared in the laboratory and are free from disease.

C. Materials and equipment needed

- 1. Fine paintbrush
- 2. Marking pen
- 3. Approximately 6 unfed ticks
- 4. Labeled vials for accessing and disposing of ticks
- 5. Shallow pans with water
- 6. Timer
- 7. Practice data sheet and pen

D. Learning the methods

Spend about 30 minutes practicing handling ticks in the laboratory in preparation for the repellent study. Your trainer will show you how to remove ticks from vials (held in water pans in order to keep ticks from escaping). Your trainer will draw three fine lines with removable ink across your inner forearm, near the wrist, 3 cm apart from one another. From the vial labeled 'Fresh', gently touch the paintbrush tip near the front of a tick's body. It will climb onto the brush. Place the tick on the line nearest your wrist, noting the time as soon as the tick begins to walk toward your elbow. If the tick instead walks toward your hand, elevate your elbow above the hand and use the brush to gently guide the tick back toward the lines. Once it passes the first line, walking toward the elbow, note the time at that point. Observe whether the tick crosses both the second and third lines toward your elbow within three minutes of the start time. After it has crossed the third line, or after three minutes if not, use your brush to remove the tick and place it in the vial labeled 'Used'. If it crossed that line within three minutes, record 'C' on the practice data sheet; otherwise record 'R' for 'repelled'. You will practice these tasks several times in order to familiarize yourself with how to handle the ticks carefully and successfully. You may ask your trainer for advice on how to do this at any time while you are practicing.

Study subjects
 b. ticks

i. handling ii. observing movement (v. 2, 11 June 2007) 10 July 2007 Spectrum

EPA ARCHIVE DOCUMENT

protect your health.

Division of United Industries

P. O. Box 142642

St. Louis, MO 63114-0642

SPC-002 Page 57 of 70

Hazardous Material Identification System – (HMIS)

HEALTH - 1

REACTIVITY - 0

FLAMMABILITY - 2

IATA: Not regulated by IATA (limited quantity exception)

PERSONAL - None

Material Safety Data Sheet

Complies with OSHA's Hazard Comn	CFR 1910.1200	FLAMMABILITY – 2 PERSONAL – None			
I Trade Name: Cutter Advanced Inse	ect Repellent				
Product Type: Insect Repellent Pump Sp	oray				
Product Item Number: 53663		Formula Code Num	ber: 21-0827		
EPA Registration Number	Manufacturer		Emergency Telephone Numbers		
121-89	Chemsico Division of United In 8494 Chapin Industria St. Louis, MO 63114	al Drive	For Chemical Emergency: 1-800-633-2873 For Information: 1-800-767-992 Prepared by: C. A. Duckworth Date Prepared: Jan. 4, 2009		
II Hazards Ingredient/Identity Informat	tion	III Physical and C	hemical Characteristics		
Picaridin 7.00 CAS # 119515-38-7	NE NE O ppm 1000 ppm	Appearance & Odor: Boiling Point: Vapor Pressure: Specific Gravity: Vapor Density: % Volatile (by vol.): Solubility in Water: Evaporation Rate: PH:	Water-white with an alcohol odor NA NA 1.0 NA 90% NA Approximately 1 (Butyl Acetate = 1) 7.4		
IV Fire and Explosive Hazards Data		V Reactivity Data			
Flash Point: 87 F (PMCC) Flame Extension: NA Autoignition Temperature: N/A Fire Extinguishing Media: Carbon dioxide, Decomposition Temperature: NA Special Fire-Fighting Procedures: For Small Fire dry chemical extinguisher. For Large Fires: U water. Unusual Fire and Explosion Hazards: Also see Se	se copious amounts of	Stability: Polymerization: Conditions to Avoid: Incompatible Materials Hazardous Decomposit or Byproducts:	such as rayon. May damage leather.		
VI Health Hazard Data		VII Precautions fo	r Safe Handling and Use		
3 ,	ninutes. Remove then continue rinsing reatment advice. Have	Steps to be Taken in Case Material is Released or Spilled: Combustible material. Remove all possible ignition sources. Soak up with absorbent material. Wash small quantities away with soapy water. Waste Disposal: If empty: Do not reuse container. Place in trash or offer for recycling. If partially filled: Call your local solid waster disposal agency or 1-800-CLEANUP for disposal instructions. Handling & Storage Precautions: Keep away from heat, sparks, or open flame.			
VIII Control Measures		IX Transportation	Data		
Read and follow label directions. They are yo this product effectively, and give necessary says that the product your health			by DOT (limited quantity exception) I by IMDG (limited quantity exception)		

The information and statements herein are believed to be reliable but are not to be construed as warranty or representation for which we assume legal responsibility. Users should undertake sufficient verification and testing to determine the suitability for their own particular purpose of any information or products referred to herein. NO WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE IS MADE.

10 July 2007 Spectrum

Division of United Industries

P. O. Box 142642

St. Louis, MO 63114-0642

Page 58 of 70 **Hazardous Material Identification** System – (HMIS)

HEALTH - 1

REACTIVITY - 0

FLAMMABILITY - 2

PERSONAL - None

Material Safety Data Sheet Complies with OSHA's Hazard Communication Standard, 29 CFR 1910.1200

Complies with OSHA's Hazard Comm		CFR 1910.1200	
I Trade Name: Cutter Advanced Inse	-		
Product Type: Insect Repellent towelette	;	T	
Product Item Number:	,	Formula Code Num	aber: 21-0827
EPA Registration Number	Manufacturer		Emergency Telephone Numbers
121-90	Chemsico Division of United In 8494 Chapin Industris St. Louis, MO 63114	al Drive	For Chemical Emergency: 1-800-633-2873 For Information: 1-800-767-9927 Prepared by: C. A. Duckworth Date Prepared: February 1, 2005
II Hazards Ingredient/Identity Informat	ion	III Physical and Cl	hemical Characteristics
Chemical % OS	SHA PEL ACGIH TLV	Appearance & Odor:	Fabric towelette saturated with clear colorless
Picaridin 5.75 CAS # 119515-38-7 Ethanol 25.00 1000 CAS #64-17-5/ 977021-81-0	NE NE ppm 1000 ppm	Boiling Point: Vapor Pressure: Specific Gravity: Vapor Density: % Volatile (by vol.): Solubility in Water: Evaporation Rate: PH:	Liquid NA NA 1.0 NA 70% NA Approximately 1 (Butyl Acetate = 1) 7.4
IV Fire and Explosive Hazards Data		V Reactivity Data	
Flash Point: 87 F (PMCC) Flame Extension: NA Autoignition Temperature: N/A Fire Extinguishing Media: Carbon dioxide, Decomposition Temperature: NA Special Fire-Fighting Procedures: For Small Fire- dry chemical extinguisher. For Large Fires: Us water. Unusual Fire and Explosion Hazards: Also see See	se copious amounts of	Stability: Polymerization: Conditions to Avoid: Incompatible Materials Hazardous Decomposit or Byproducts:	such as rayon. May damage leather.
VI Health Hazard Data		VII Precautions for	r Safe Handling and Use
Eye Contact: Causes moderate eye irritation. First and rinse slowly and gently with water for 15-20 in contact lenses, if present, after the first 5 minutes eye. Call a Poison Control Center or doctor for the product container or label with you when can Center or doctor or going for treatment. Special Notes: None Health conditions Aggravated by Exposure: No Ingredients listed by NTP, OSHA, or IARC as Carcinogens or Potential Carcinogens:	ninutes. Remove then continue rinsing eatment advice. Have lling a Poison Control	Combustible material absorbent material. Wa Waste Disposal: If empty: Do not reuse of partially filled: Call your CLEANUP for disposal in Handling & Storage Pres	
VIII Control Measures		IX Transportation	Data
Read and follow label directions. They are yo this product effectively, and give necessary sa protect your health.		IMDG: Not regulated	by DOT (limited quantity exception) I by IMDG (limited quantity exception) by IATA (limited quantity exception)

SPC-002

The information and statements herein are believed to be reliable but are not to be construed as warranty or representation for which we assume legal responsibility. Users should undertake sufficient verification and testing to determine the suitability for their own particular purpose of any information or products referred to herein. NO WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE IS MADE.

10 July 2007 Spectrum Division of United Industries

P. O. Box 142642

St. Louis, MO 63114-0642

Page 59 of 70 **Hazardous Material Identification** System – (HMIS) HEALTH - 2 REACTIVITY - 0

PERSONAL - None

FLAMMABILITY - 3

Material Safety Data Sheet

Complies with OSHA's Hazard Communication Standard, 29 CFR 1910.1200

Complies with OSHA's Hazard Com					
I Trade Name: Cutter® Advanced C Product Type: Aerosol Insect repellent		Repellent			
Product Item Number: 53667		Formula Code Num	lber: 21-0865		
EPA Registration Number	Manufacturer		Emergency Telephone Numbers		
121-92	Chemsico Division of United In 8494 Chapin Industri St. Louis, MO 63114	al Drive	For Chemical Emergency: 1-800-633-2873 For Information: 1-800-767-9927 Prepared by: C. A. Duckworth Date Prepared: November 29, 2005		
II Hazards Ingredient/Identity Informa	ation	III Physical and Ch	hemical Characteristics		
Picaridin 15.00 CAS #119515-38-7	OSHA PEL NE NE NE NE NE NE NE NE	Appearance & Odor: Boiling Point: Vapor Pressure: Specific Gravity: Vapor Density: % Volatile (by vol.) Solubility in Water: Evaporation Rate:	Light mist spray with an alcohol odor NA NA 0.88 at 72° F (H ₂ O = 1) 1.6 >90% NA Approximately 1 (Butyl Acetate = 1)		
IV Fire and Explosive Hazards Data		V Reactivity Data			
Decomposition Temperature: NA Special Fire-Fighting Procedures: For Small Fires: Use Carbon dioxide or dry chen Large Fires: Use copious amounts of water.	e, Foam, Dry chemical	Stability: Polymerization: Conditions to Avoid: Incompatible Materials: Hazardous Decompositi or Byproducts:	such as rayon. May damage leather.		
VI Health Hazard Data		VII Precautions for	r Safe Handling and Use		
Eye Contact: Causes moderate eye irritation. F and rinse slowly and gently with water for 15-20 contact lenses if present after the first 15 minute eye. Call a Poison Control Center or doctor for Special Notes: Health conditions Aggravated by Exposure: N Ingredients listed by NTP, OSHA, or IARC as Carcinogens or Potential Carcinogens:	minutes. Remove es then continue rinsing treatment advice.	Flammable material. If absorbent material. Wa Waste Disposal: Do not puncture or increcycling. If partially filled 1-800-CLEANUP for disposal to the mandling & Storage Precedent.	cautions: t, sparks, or open flame. Exposure to temperatures		
VIII Control Measures		IX Transportation	Data		
Read and follow label directions. They are y this product effectively, and give necessary protect your health.		(Limited Quanti IMDG: Aerosols (Maxim Packing Group I IATA: Aerosols, Flamm 6.1, Packing Gro	num 1 Liter), Hazard Class 2, UN-1950,		

SPC-002

The information and statements herein are believed to be reliable but are not to be construed as warranty or representation for which we assume legal responsibility. Users should undertake sufficient verification and testing to determine the suitability for their own particular purpose of any information or products referred to herein. NO WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE IS MADE.

10 July 2007 Spectrum

Division of United Industries

P. O. Box 142642

St. Louis, MO 63114-0642

Page 60 of 70 **Hazardous Material Identification** System – (HMIS) HEALTH - 1 REACTIVITY - 0

PERSONAL - None

FLAMMABILITY – 2

Material Safety Data Sheet

Complies with OSHA's Hazard Com	nmunication Standard, 29	CFR 1910.1200	FLAMMABILITY – 2 PERSONAL – None		
I Trade Name: Cutter Advanced Ou	ıtdoorsman Insect Re	epellent ₁			
Product Type: Insect Repellent Pump S	Spray				
Product Item Number: 53660		Formula Code Num	nber: 21-0845		
EPA Registration Number	Manufacturer		Emergency Telephone Numbers		
121-91	Chemsico Division of United In 8494 Chapin Industri St. Louis, MO 63114	al Drive	For Chemical Emergency: 1-800-633-287 For Information: 1-800-767-992 Prepared by: C. A. Duckwort Date Prepared: November 22, 200		
II Hazards Ingredient/Identity Information	ation	III Physical and C	hemical Characteristics		
Picaridin 15.00 CAS # 119515-38-7	NE NE NE 00 ppm 1000 ppm	Appearance & Odor: Boiling Point: Vapor Pressure: Specific Gravity: Vapor Density: % Volatile (by vol.): Solubility in Water: Evaporation Rate: PH:	Water-white with an alcohol odor NA NA 1.0 NA 90% NA Approximately 1 (Butyl Acetate = 1) 7.4		
IV Fire and Explosive Hazards Data		V Reactivity Data			
Flash Point: 83 F (PMCC) Flame Extension: NA Autoignition Temperature: N/A Fire Extinguishing Media: Carbon dioxide, Foam, Dry chemical Decomposition Temperature: NA Special Fire-Fighting Procedures: For Small Fires: Use Carbon dioxide or dry chemical extinguisher. For Large Fires: Use copious amounts of water. Unusual Fire and Explosion Hazards: Also see Section VII		Stability: Stable Polymerization: Will not occur Conditions to Avoid: None Incompatible Materials: May soften or damage some synthetics such as rayon. May damage leather. Hazardous Decomposition or Byproducts: None			
VI Health Hazard Data		VII Precautions for Safe Handling and Use			
Eye Contact: Causes substantial but temporary eye injury. Avoid contact with eyes or clothing. Wash thoroughly with soap and water after handling and before eating, drinking, chewing gum, using tobacco or using the toilet. First Aid: Hold eye open and rinse slowly and gently with water for 15-20 minutes. Remove contact lenses, if present, after the first 5 minutes then continue rinsing eye. Call a Poison Control Center or doctor for treatment advice. Have the product container or label with you when calling a Poison Control Center or doctor or going for treatment. Special Notes: None Health conditions Aggravated by Exposure: None known Ingredients listed by NTP, OSHA, or IARC None as Carcinogens or Potential Carcinogens:		Steps to be Taken in Case Material is Released or Spilled: Combustible material. Remove all possible ignition sources. Soak up with absorbent material. Wash small quantities away with soapy water. Waste Disposal: If empty: Do not reuse container. Place in trash or offer for recycling. If partially filled: Call your local solid waster disposal agency or 1-800-CLEANUP for disposal instructions. Handling & Storage Precautions: Keep away from heat, sparks, or open flame.			
VIII Control Measures		IX Transportation Data			
Read and follow label directions. They are your best guide to using this product effectively, and give necessary safety precautions to protect your health.		DOT: Not regulated by DOT (limited quantity exception) IMDG: Not regulated by IMDG (limited quantity exception) IATA: Not regulated by IATA (limited quantity exception)			

SPC-002

The information and statements herein are believed to be reliable but are not to be construed as warranty or representation for which we assume legal responsibility. Users should undertake sufficient verification and testing to determine the suitability for their own particular purpose of any information or products referred to herein. NO WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE IS MADE.

10 July 2007 Spectrum Division of United Industries

P. O. Box 142642

St. Louis, MO 63114-0642

Page 61 of 70 **Hazardous Material Identification** System – (HMIS) HEALTH - 2 REACTIVITY - 0

Material Safety Data Sheet FLAMMABILITY - 3 PERSONAL - None Complies with OSHA's Hazard Communication Standard, 29 CFR 1910.1200 I Trade Name: Cutter® Advanced Sport Insect Repellent **Product Type:** Aerosol Insect repellent **Product Item Number: 53661** Formula Code Number: 21-0865 Manufacturer **Emergency Telephone Numbers EPA Registration Number** Chemsico For Chemical Emergency: 1-800-633-2873 Division of United Industries Corporation For Information: 1-800-767-9927 121-92 C. A. Duckworth Prepared by: 8494 Chapin Industrial Drive Date Prepared: November 29, 2005 St. Louis, MO 63114 II Hazards Ingredient/Identity Information III Physical and Chemical Characteristics % **OSHA PEL ACGIH TLV** Appearance & Odor: Light mist spray with an alcohol odor Chemical Picaridin 15.00 **Boiling Point:** NA CAS #119515-38-7 Vapor Pressure: NA Ethanol 34.00 1000 ppm 1000 ppm Specific Gravity: 0.88 at 72° F ($H_2O = 1$) CAS #64-17-5 Vapor Density: 1.6 NF NF % Volatile (by vol.) >90% Isobutane 10.00 Solubility in Water: **Evaporation Rate:** Approximately 1 (Butyl Acetate = 1) Fire and Explosive Hazards Data V Reactivity Data 80° F (PMCC) liquid portion Stability: Stable 12" Polymerization: Will not occur

SPC-002

Flash Point: Flame Extension: **Autoignition Temperature:** N/A Conditions to Avoid: None

Fire Extinguishing Media: Incompatible Materials: May soften or damage some synthetics Carbon dioxide, Foam, Dry chemical

Decomposition Temperature: Special Fire-Fighting Procedures: Hazardous Decomposition For Small Fires: Use Carbon dioxide or dry chemical extinguisher. For or Byproducts:

Large Fires: Use copious amounts of water.

Unusual Fire and Explosion Hazards: Also see Section VII

VII Precautions for Safe Handling and Use

Eye Contact: Causes moderate eye irritation. First Aid: Hold eye open and rinse slowly and gently with water for 15-20 minutes. Remove contact lenses if present after the first 15 minutes then continue rinsing eye. Call a Poison Control Center or doctor for treatment advice.

Special Notes:

Health conditions Aggravated by Exposure: None known Ingredients listed by NTP, OSHA, or IARC as Carcinogens or Potential Carcinogens:

None

VI Health Hazard Data

Steps to be Taken in Case Material is Released or Spilled:

None

Flammable material. Remove all possible ignition sources. Soak up with absorbent material. Wash small quantities away with soapy water.

such as rayon. May damage leather.

Waste Disposal:

Do not puncture or incinerate. If empty: Place in trash or offer for recycling. If partially filled: Call your local solid waster disposal agency or 1-800-CLEANUP for disposal instructions.

Handling & Storage Precautions:

IX Transportation Data

Keep away from heat, sparks, or open flame. Exposure to temperatures higher than 130°F may cause bursting

VIII Control Measures

Read and follow label directions. They are your best guide to using this product effectively, and give necessary safety precautions to protect your health.

Consumer Commodity, Hazard Class ORM-D

(Limited Quantity Exception)

IMDG: Aerosols (Maximum 1 Liter), Hazard Class 2, UN-1950,

Packing Group III

Aerosols, Flammable, Containing Substances in Division IATA:

6.1, Packing Group III (Each Not Exceeding 1 Liter Capacity), Hazard Class 2.1, UN-1950, Packing Group III

The information and statements herein are believed to be reliable but are not to be construed as warranty or representation for which we assume legal responsibility. Users should undertake sufficient verification and testing to determine the suitability for their own particular purpose of any information or products referred to herein. NO WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE IS MADE.

10 July 2007 Spectrum

Division of United Industries

P. O. Box 142642

St. Louis, MO 63114-0642

Page 62 of 70 **Hazardous Material Identification** System – (HMIS)

HEALTH - 1

REACTIVITY - 0

FLAMMABILITY - 2

PERSONAL - None

Material Safety Data Sheet Complies with OSHA's Hazard Communication Standard, 29 CFR 1910.1200

Complies with OSHA's Hazard Comm		CTR 1710.1200			
I Trade Name: Cutter Advanced Spor	-				
Product Type: Insect Repellent towelette	:	1			
Product Item Number: 571		Formula Code Num	aber: 21-0845		
EPA Registration Number	Manufacturer	Emergency Telephone Number			
121-93	Chemsico Division of United In 8494 Chapin Industria St. Louis, MO 63114	al Drive	For Chemical Emergency: 1-800-633-2873 For Information: 1-800-767-9927 Prepared by: C. A. Duckworth Date Prepared: November 29, 2005		
II Hazards Ingredient/Identity Informati	ion	III Physical and Chemical Characteristics			
Chemical % OS	SHA PEL ACGIH TLV	Appearance & Odor:	Fabric towelette saturated with clear colorless		
Picaridin 12.00 CAS # 119515-38-7 Ethanol 28.00 1000 CAS #64-17-5/ 977021-81-0	NE NE O ppm 1000 ppm	Boiling Point: Vapor Pressure: Specific Gravity: Vapor Density: % Volatile (by vol.): Solubility in Water: Evaporation Rate: PH:	Liquid NA NA 1.0 NA 70% NA Approximately 1 (Butyl Acetate = 1) 7.4		
IV Fire and Explosive Hazards Data		V Reactivity Data			
Flash Point: 83 F (PMCC) Flame Extension: NA Autoignition Temperature: N/A Fire Extinguishing Media: Carbon dioxide, Foam, Dry chemical Decomposition Temperature: NA Special Fire-Fighting Procedures: For Small Fires: Use Carbon dioxide or dry chemical extinguisher. For Large Fires: Use copious amounts of water. Unusual Fire and Explosion Hazards: Also see Section VII		Stability: Polymerization: Conditions to Avoid: Incompatible Materials Hazardous Decomposit or Byproducts:	such as rayon. May damage leather.		
VI Health Hazard Data		VII Precautions for Safe Handling and Use			
Eye Contact: Causes substantial but temporary eye irritation. First Aid: Hold eye open and rinse slowly and gently with water for 15-20 minutes. Remove contact lenses, if present, after the first 5 minutes then continue rinsing eye. Call a Poison Control Center or doctor for treatment advice. Have the product container or label with you when calling a Poison Control Center or doctor or going for treatment. Special Notes: None Health conditions Aggravated by Exposure: None known Ingredients listed by NTP, OSHA, or IARC None as Carcinogens or Potential Carcinogens:		Steps to be Taken in Case Material is Released or Spilled: Combustible material. Remove all possible ignition sources. Soak up with absorbent material. Wash small quantities away with soapy water. Waste Disposal: If empty: Do not reuse container. Place in trash or offer for recycling. If partially filled: Call your local solid waster disposal agency or 1-800-CLEANUP for disposal instructions. Handling & Storage Precautions: Keep away from heat, sparks, or open flame.			
VIII Control Measures		IX Transportation	Data		
Read and follow label directions. They are your best guide to using this product effectively, and give necessary safety precautions to protect your health.		DOT: Not regulated by DOT (limited quantity exception) IMDG: Not regulated by IMDG (limited quantity exception) IATA: Not regulated by IATA (limited quantity exception)			

SPC-002

The information and statements herein are believed to be reliable but are not to be construed as warranty or representation for which we assume legal responsibility. Users should undertake sufficient verification and testing to determine the suitability for their own particular purpose of any information or products referred to herein. NO WARRANTY OF FITNESS FOR A PARTICULAR PURPOSE IS MADE.

EPA ARCHIVE DOCUMENT

SPC-002

SITE QUESTIONNAIRE

Non-Local Review

INDEPENDENT **INVESTIGATIONAL** REVIEW BOARD INC.

Protocol # & Complete Study Title: (SPC-001) EFFICACY TEST OF PICARIDIN-BASED PERSONAL INSECT REPELLENTS WITH MOSQUITOES UNDER FIELD CONDITIONS

		tor(s): None			
more	Please indicate the location where study activities will more than on location is being used you may attach a Site Address: Carroll-Loye Biological Research 711 Oak Avenue Davis, CA 951616 USA		additional pages	ling Ad	patients will be seen excluding Diagnostics) If dress:
		being conducted at more than one e separate information for each loc		nforma	tion requested differs for each location,
	-	tudy Coordinator: Scott Carroll P 530-297-6080 24 Hour Phone:			Fax Number: <u>530-297-6080</u>
Plea	se comp	olete the following: You may attach	copies of releva	ant proc	edures.
1.	Is this	study federally funded requiring re	view under HS	S stan	dards? X No ☐ Yes
2.	How w X	vill Study Participants be recruited? Principal Investigator's Clinical Pr Data base of potential Volunteers Other (please specify):Word of me	actice [Ad (*a	ferrals from other clinical Practices vertising in the community* dvertisements <u>Must</u> be approved by the IIRB)
3.			e study popula	ations?	X No

If yes, describe procedures to be followed (if applicable): Our subjects are mainly University of California-Davis graduate and undergraduate students in life science programs with which the Principal Investigator is associated. Students in his laboratory who depend on him directly for employment or scholastically are not eligible to participate.

Do the subjects that you intend to enroll in this study come from any type of ethnic background or cultural environment that might have an impact on their ability to understand that participation in the study is voluntary and refusal to participate or discontinuing their participation will not have any adverse impact on the care that they will receive? No

Revised: 1/10/06

	Will you be enrolling only subjects who speak English in this study? X Yes ☐ No
	If No, Is a "local dialect" or translation needed? Translation needed: Spanish Other
	Who will discuss the research study with the volunteer and obtain informed consent (signed informed consent)? (Check all that apply)
	X Principal Investigator Sub Investigator Study Coordinator Explain consenting procedures: We contact subjects who participated in previous Carroll-Loye repellen
	efficacy tests by selecting them from our Volunteer Database. At that time interested individuals often
	ask if one or more of their lab mates or acquaintances can participate as well. All such potentia
	participants are screened or re-screened for suitability for each test in a private, one-on-one
	conversation held at the office of the Principal Investigator (PI). The Exclusion Criteria (section 9.1.3
	are exercised by asking each candidate to address them in the interview with the PI. The P
	encourages candidates to ask questions and ask for clarification at any time during the interview and in
3	all activities that follow. To candidates that pass screening the PI describes the test purpose in plair
<u>I</u>	anguage (in English), and the procedures and comportment to be followed are described in detail
(Candidates are then asked if they would like to retire from consideration at that point. If they wish to
!	remain in consideration, it is explained and emphasized that they may withdraw from the test at any
<u>ti</u>	me during the test without penalty to their compensation. They are also given a copy of the IRB
6	approved consent form to read as it is read aloud. The amount and form of compensation is described
	They are again encouraged to ask any questions they have about the test, which may include
Į	understanding its purpose more fully, understanding risks and discomforts more fully, and
	understanding treatment and compensation for injury more fully. While the majority of our subjects have
	worked with us on an occasional basis for a number of years, we encourage them to personally
	evaluate their interests and concerns about participation seriously each time. We ask them not to sign
	on immediately but to give the situation due consideration (normally at least one day, sometimes less
	for those who have participated in multiple prior studies). Because most of the volunteers are
	researchers and/or have advanced degrees in life sciences, we regard their motivations and decisions
	to participate as being unusually well considered and well informed. Accordingly, we normally accep
	their decisions to participate if they so choose following due consideration. Nonetheless, the PI retains
	the final right to refuse participation to any candidate.

B. Describe the setting(s) where the study will be conducted (ie, private office, clinic, hospital environment and if the Investigator is required to seek any type of administrative or Corporate approval in order to implement the study:

Private Laboratory owned by Principal Investigator and Field Sites accessed by the PI that are mosquito habitats

	License/accreditation and/or Hospital IRB Waiver Form.
9.	Distance between the nearest hospital and research site: <u>1.8 miles from Laboratory, within 25 miles of field sites.</u>
10.	Describe the on-site emergency equipment available for the subjects: First aid kit that includes antihistamines and Epi-pens, skin washing soap and mild dermal detergent, eye wash.
11.	How long has the PI been conducting clinical research? <u>17</u> years <u>9</u> months
12.	Within the past 3 years has the FDA/OHRP audited your site/Principal Investigator? X No _ Yes* *If yes, please provide a copy of all 483's and any applicable correspondence.
13.	Has the FDA/OHRP or any State Medical Board ever sanctioned the Principal Investigator? XNo [Yes*** *If yes, please provide a summary of the action and applicable correspondence.
14.	Are subject files adequately stored and protected to ensure subject confidentiality, i.e. HIPAA, HIV, etc.? No* X Yes *If no, please explain:
15.	Does the Principal Investigator, Sub Investigator(s) or any immediate family member have a conflict of interest with the study sponsor, sponsor representatives or other study related entities? X No \(\text{Yes} \)* If yes, please provide explanation:
Su Will *If y visit Sit Is th *If y	I subject be paid for participation in this study? I subject be paid
S EPA ARCH	
4	
4	
Ш	
S	

10 July 2007 SPC-002 Page $6 \Re g \approx 03 \text{ of } 3$ *If being done in a Hospital or Outpatient Surgery Center, please provide a copy of that facility's

Page 6**Page**03 of 3

Investigator Acknowledgment

On behalf of all of the investigators listed on page1, I agree that the responses provided on the Site Questionnaire are true and accurate and I agree to notify the Independent Investigational Review Board, Inc. of any changes in the research activities and to report any unanticipated problems involving risk to the research subjects. In addition, I agree not to make any changes in the research without IRB approval. I confirm that study personnel are familiar with the study and that either an Investigator or a study coordinator acting as my designee will orally explain the Informed Consent Form to all prospective subjects before obtaining their signed informed consent. Furthermore, by signing this form I confirm that I agree to conduct the study in accordance with the requirements of the protocol, for which I am seeking approval.

Scott P. Carroll		
Print name of individual completing Site Questionnaire		
Lett (arl)		
	10 July 2007	
Signature of individual completing Site Questionnaire	Date	
Scott P. Carroll		
Print Name of Principal Investigator		
Fell (and)		
	10 July 2007	
Signature Principal Investigator	Date	

Please contact the Independent IRB, if you have any questions regarding this questionnaire 954.327.0778



Study Specific Instructions

<u>Protocol Title: (SPC-001) EFFICACY TEST OF PICARIDIN-BASED PERSONAL INSECT REPELLENTS WITH MOSQUITOES UNDER FIELD CONDITIONS</u>

<u>Sponsor:</u> Spectrum Division of United Ind Contact Info:	lustries Co	p.			
Contact Info. Contact/Title Scott P. Carroll, Ph.D/Study Director (53)	Phone/Fax (530) 297-6080/6080		email spcarroll@ucdavis.edu		
Mr. Charlie Duckworth Sponsor Monitor 314-25 CRO:	4-590	charlie.duck	worth@spectru	ımbrands.com	
Contact Info:Contact/TitlePhone/Fax				<u>email</u>	
PROGRESS REPORT NOTIFICATION PROCEDURES: (To whom do we send the notice, etc.) Study Director					
SPANISH LANGUAGE REQUIRMENTS:	_(If it is de	termined that	a Spanish lan	guage ICF	
is necessary). Use translations Services though IIRB (Americo Gomez)					
We will provide our own Spanish T	We will provide our own Spanish Translations				
<u>Mailing Instructions:</u> address for Sites do NOT need to be listed – just identify as "sites" (so that we have on file who get copies and who gets originals!)					
Originals to: Scott P. Carroll Address: Carroll-Loye Biological Research 711 Oak Avenue, Davis, CA 956	n Account	FedEX X UP #: 177-484-3			
Copies to: Address:		FedEX – UP #:	S - USPS		
Notes: (include if routine correspondence	get copies	s sent to CR0	D/Sponsor, ser	nt US Mail,	

Progress Report Information:

To Study Director

etc.)

Scott P. Carroll, Carroll-Loye Biological Research, 711 Oak Avenue, Davis, CA 95616

Billing Instructions:

To Study Director: Scott P. Carroll

<u>Billing Address:</u> Scott P. Carroll, Carroll-Loye Biological Research, 711 Oak Avenue, Davis, CA 95616

Record of Correspondence between PI and IRB, SPC-002

Date: July 13, 2007

To: "Robert Roogow" <rroogow@iirb.com>

Subject: SPC-002, initial submission (ICF, set up forms), tick repellent

Dear Robert,

I have attached the proposed ICF for tick repellent study SPC-002. It is similar to others you have reviewed for me in the past. I have also attached the standard set-up forms. The protocol will follow shortly.

Thank you very much.

Scott

--

Scott P. Carroll, Ph.D. Carroll–Loye Biological Research

Date: 16 July 2007
To: Robert_Roogow

Subject: Submission of SPC-002 remainder

Dear Robert.

I hope you had a good weekend. Here I attach the remaining documentation for our tick repellent study, SPC-002. It completes that which I emailed to you last week. We are hopeful that you may schedule SPC-002 for your next meeting. Much of the information is in common with the mosquito repellent protocol for the same products, SPC-001. In this case, however, each subject serves as their own internal control, so that separated treated vs. control ICFs are not appropriate. The tick studies are also laboratory rather than field trials.

For your information, once these SPC protocols are finalized, there will likely be a break in our new submissions for a little while as we concentrate on other aspects of the process and on work at hand. Thank you for helping us through this initial period of transition with respect to US EPA oversight of our practices. Your partnership has significantly increased the efficiency with which we have been able to respond to developing agency criteria. In the meantime, we'll keep you formally apprised of the status of human subjects in our ongoing research.

Please let me know if you have any questions. We look forward to hearing from you.

Sincerely, Scott

__

Scott P. Carroll, Ph.D. Carroll-Loye Biological Research

Date: July 16, 2007

From: "Yesenia Crespo" <ycrespo@iirb.com>

Subject: SPC-002

Dear Scott,

Would you mind sending us a revised protocol with either the cover page changed to reflect date 13 July 2007 or change the other pages of the protocol to 10 July 2007 so it is consistent.

Regards,

Yesenia Crespo

Independent Investigational Review Board INC.

Date; July 17, 2007

To: <spcarroll@ucdavis.edu> Subject: SPC-001 and SPC-002

Date: Tue, 17 Jul 2007 16:10:48 -0400

Attached are the approved consent forms. If I can help you with anything else please let me know.
Regards,

Yesenia Crespo

Independent Investigational Review Board INC.

711 Oak Avenue

Davis, California 95616

Tel (530)297-6080

http://www.carroll-loye.com/

17 July 2007

Mr. Robert Roogow Independent Investigational Review Board 6738 W. Sunrise Blvd., Suite 102 Plantation, Florida 33313

Administrative Letter, Carroll-Loye Protocol SPC-002

Dear Mr. Roogow,

The formal date for our protocol SPC-002 is 10 July 2007. That date will be reflected throughout, and referenced in any forthcoming revisions.

Thank you very much.

Scott P. Carroll, PhD

Study Director